



## ACCOBAMS BEST PRACTICES ON CETACEAN POPULATION GENETICS

Version 1, October 2022

This Best Practices document was drafted by the participants to the “ACCOBAMS workshop on Data Collection on Cetacean Population Genetics in the ACCOBAMS area”, held in September 2022: Pauline Gauffier, Anna Schleimer, Inês Carvalho, Olfa Chaieb, Greg Donovan, Michael Fontaine, Natalia Fraija, Tilen Genov, Pavel Gol’din, Nik Lupše, Sandro Mazzariol, Paula Méndez Fernández, Cristina Panti, Céline Tardy, Arda Tonay, Karina Vishnyakova, with the support of the Secretariat (see report [ACCOBAMS-MOP8/2022/Inf25](#)).

Some parts are extensively based on existing guidelines such as the ones developed by IWC (see references herein). Furthermore, Ralph Tiedemann, Amy Van Cise, Elena Valsecchi kindly provided useful comments and allowed that text and figures from their papers be reflected in these Best Practices document.

Due to the quick development of new analytical techniques, these Best Practices should be considered as a living document and be updated regularly.

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## ACCOBAMS BEST PRACTICES ON CETACEAN POPULATION GENETICS

### INDEX

<b>INTRODUCTION</b>	3
<b>1) STUDY DESIGN</b>	4
a) Define study objectives/hypotheses	4
b) Choice of genetic/omic markers	5
c) Seeking collaborators	6
<b>2) PERMITS FOR SAMPLES COLLECTION</b>	7
a) National permits	7
b) Report to ACCOBAMS	8
<b>3) SAMPLE COLLECTION</b>	8
a) Biopsy sampling	10
b) Stranded animals	12
c) Environmental DNA	13
d) Scrub sampling/skin swabbing	16
e) Faeces	16
f) Museum collections	16
g) Ancient DNA	17
h) Other techniques - Sloughed skin	18
<b>4) SAMPLE PRESERVATION</b>	19
a) General recommendations	19
b) Long-term storage	21
c) Backup at tissue banks	21
<b>5) EXCHANGE OF SAMPLES</b>	23
a) Agreement or Memorandum of Understanding (MOU)	23
b) CITES Procedure	23
c) Nagoya Protocol on Access and Benefit-Sharing	25
d) Inform ACCOBAMS National Focal Point	27
<b>6) SAMPLE PROCESSING</b>	27
a) Techniques/protocols for sample processing	27
b) IWC guidelines on quality control	29
c) Suitable genetic labs	30
<b>7) DATA ANALYSIS</b>	31
a) IWC guidelines on data analysis	31
b) Environmental DNA/faeces	33
c) Computational resources for genomic data	33
<b>8) DISSEMINATION OF RESULTS</b>	34
a) ACCOBAMS Scientific Committee	34

b) Scientific community	34
c) ACCOBAMS National Focal Point	34
d) Other stakeholders	35
e) General public	35
<b>9) DATA ARCHIVING AND COLLECTING DATA FROM PREVIOUSLY PUBLISHED STUDIES</b>	<b>35</b>
<b>10) FORENSIC SCIENCE</b>	<b>35</b>
a) Special case of individual identification of captive individuals	35
b) Species identification for trade	36
<b>11) GLOSSARY OF TERMS</b>	<b>36</b>
<b>REFERENCES</b>	<b>41</b>
<b>Appendix 1 Existing knowledge on population genetics of cetaceans in the ACCOBAMS area [September 2022]</b>	<b>48</b>
1) Summary for each species	48
2) Summary table of scientific literature	58
3) Full reference list for species summaries	77
<b>Appendix 2 Suitable genetics labs in the ACCOBAMS area [October 2022]</b>	<b>86</b>
<b>Appendix 3 Example laboratory protocols for DNA extraction from tissue</b>	<b>88</b>
A) The Ammonium acetate precipitation method	88
B) The Phenol/Chloroform method	90
<b>Appendix 4 Example of Biological Material Transfer Agreement</b>	<b>92</b>

# INTRODUCTION

Understanding population structure and delimiting appropriate units-to-serve (often, but not always 'biological populations') is essential to good conservation and management. Although such understanding requires integrating results from a suite of data types and analytical techniques, a fundamental component is population genetics. Two vital strands of the ACCOBAMS strategy for management are Conservation Management Plans (CMPs<sup>1</sup>) and the Long-Term Monitoring Programme (LTMP).

The overall goal of CMPs is to integrate scientific information to enable the management of human activities that affect a nominated species in a nominated area in order to maintain a favourable conservation status of that species. The first four being drafted are for fin whales, Risso's dolphins, bottlenose dolphins and common dolphins and all focus on the Mediterranean Sea.

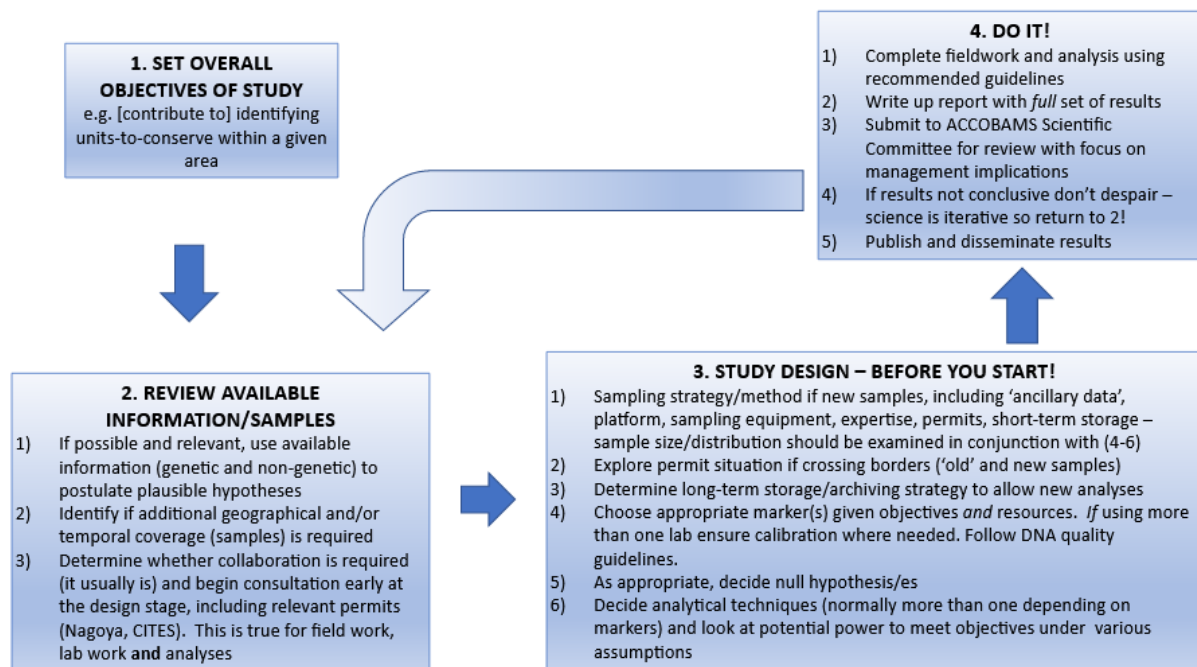
To maintain favourable status of a species *throughout the range* requires determining the population structure within the range (e.g. the Mediterranean Sea) and determining the appropriate units-to-serve (and their geographical and temporal boundaries). Whilst it is not impossible that there is only a single population of a species in the Mediterranean Sea with no geographical or temporal influx (or outflux) this is unlikely. It is not surprising that for all four draft CMPs, high priority Actions to determine appropriate management units have been developed. This will then allow determination of status and threats at the appropriate geographical scale for each management unit and facilitate any necessary mitigation measures.

The LTMP arose out of the success of the ACCOBAMS Survey Initiative in the Mediterranean Sea in 2018 and a similar effort in the Black Sea in 2019 in establishing baseline abundance estimates and distribution in summer for many species for the first time. Abundance (and trends in it) is a key parameter in determining status but interpreting the results of surveys requires knowledge of population structure and seasonal movements. In simple terms, assuming one population when there is more can lead to local depletions.

Whilst genetic studies can address a large number of issues related to cetaceans, the primary focus of these guidelines is on matters related to understanding population structure, abundance and movements in order for ACCOBAMS to meet its conservation and management objectives.

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<sup>1</sup> See <https://accobams.org/species /conservation-plans/>



## 1) STUDY DESIGN

### a) Define study objectives/hypotheses

Genetics can provide insights relevant to many aspects of conservation and management planning for cetaceans. For instance, genetic data can be used to:

- Identify and delimit species, subspecies, populations;
- Investigate the occurrence of hybridisation;
- Estimate effective population size;
- Identify individuals and track their contemporary movements;
- Characterise levels of genetic connectivity and differentiation among populations;
- Quantify genetic diversity within populations with insights into past demographic processes;
- Resolve population admixture and assign individuals to the population which they most likely originated from;
- Forensic science.

Within the ACCOBAMS area, CMPs often require input from genetic analyses. The definition of clear study objectives will determine the study design in terms of required sample sizes, genetic markers, spatial-temporal coverage, and collaborations. By quantifying expectations before the study begins, researchers can plan an optimal experimental study design. Waples et al. (2018) specify the IWC's approach to determining stock structure and discuss the use of threshold levels of population differentiation that require separate stock management.

[Appendix 1](#) compiles a literature review on existing studies relating to the genetics of cetaceans in the ACCOBAMS area as of September 2022, and identifies knowledge gaps. **Future studies should aim to fill these existing gaps and update this information.**

## b) Choice of genetic/omic markers

In population genetics we study the distribution in space and time of allele frequencies (patterns) resulting from certain evolutionary forces or processes. The characterisation of allele frequencies and distributions in a population enables inferences about processes (e.g. genetic drift, mutation, gene flow, and natural selection), which have shaped the patterns observed in a given population. A population genetic analysis consists of asking relevant biological questions, sampling individuals, determining frequencies of alleles at loci and using statistical approaches to infer patterns and processes.

One of the most important steps in a population genetic study is the choice of genetic markers to be analysed. This choice depends on several factors, such as the type of questions that one intends to answer, the available budget, the laboratory, or the technical capacity (human and computational resources) to analyse the results (Table 1). Some markers can be applied to non-model species (e.g. RAD sequencing) while other markers require *a priori* development of species-specific primers (e.g. microsatellite loci), although in some cases primers from closely related species are applicable. A thorough literature review should be undertaken to identify which markers have already been applied to the species of interest, and/or whether the development of new markers is required.

Molecular markers need to be chosen appropriately to be neutral/adaptive (depending on questions), reasonably polymorphic, reproducible, and provide insights at the right evolutionary scale. Markers with high mutation rates such as microsatellites (simple sequence repeats or SSRs) provide insights into recent divergence whereas mitochondrial, nuclear or other sequence loci provide inferences about the more distant evolutionary history given their slower mutation rates.

The minimum number of markers that should be used in a population genetic study varies with the genetic diversity of the population, scale of the study, and type of marker used.

Presently, genetic and genomic datasets can be used to estimate genetic diversity, population structure, and demographic history. Genome-scale data with an increased density of markers across the genome can provide more accurate estimation of these parameters, sometimes resulting in different conservation recommendations (Supple & Shapiro 2018).

The cost of sequencing continues to decrease; however, most conservation projects have a limited budget that allows genome-scale sequencing of only a small number of samples. The trade-off between the number of samples and the number of sequenced loci is a critical consideration, and the best approach in each case will depend on the research question and can often be investigated via simulation studies.

Another vital consideration is data analysis, specifically the resources and expertise available to analyse genomic data. For example, calling genotypes requires a reference genome, which may not be available for some cetacean species and analysis software is not always user-friendly. Moreover,

analysis of genomic data requires high resolution computer power and storage capacity (see Section on *Computer requirements*). Moreover, it is often difficult to interpret the results from whole-genome analyses and to translate them into conservation recommendations.

Table 1. Short summary presenting some characteristics of different genetic/genomic markers.

	<b>mtDNA</b>	<b>Microsatellites (SSR)</b>	<b>Single Nucleotide Polymorphisms (SNPs)</b>	<b>Nuclear gene</b>	<b>Whole genome</b>
<b>Effect of selection</b>	Neutral <sup>a</sup>	Neutral	Neutral or Adaptive <sup>b</sup>	Adaptive	Neutral and adaptive
<b>Mode of inheritance</b>	Maternal	Bi-parental	Bi-parental	Bi-parental	Bi-parental
<b>Mutation rate</b>	Low	High	Moderate-High	Low-moderate	Low-moderate
<b>Temporal scale</b>	Long	Short	Short	Variable	Variable
<b>Genomic coverage</b>	Small	Whole genome	Whole genome	Small	Whole genome
<b>Amount of DNA required</b>	Low	Medium (20-50ng)	High (≥50ng)	Low	High (≥50ng)
<b>Quality of DNA required</b>	Low Medium	Medium	High	Low-Medium	High
<b>Technically demanding</b>	Low	Low	High	Low	High
<b>Time demanding</b>	Low	High	Low	Low	Medium-high
<b>Cost</b>	Low	Medium-high	Medium-high	Low	High

**a** – assumed to be selectively neutral; **b** - May be located in or adjacent to regions of the genome under selection

For more details, researchers are advised to read the following literature: Allendorf et al 2010; Shafer et al 2015; Andrews et al 2016; Hunter et al 2018; Cabrera et al 2021; Willi et al 2022.

### c) Seeking collaborators

For population studies investigating management units or units-to- conserve, there are two primary issues with respect to samples: (1) a sufficient number and (2) a sufficient geographical and seasonal

spread. In an area as large as the ACCOBAMS region it is unlikely that a single institute/organisation will have sufficient samples to meet these requirements. It is therefore essential to develop a collaborative approach throughout the region as early as possible. This collaboration should extend to all stages of the process from obtaining, archiving and sharing samples to choice of markers, laboratories and analyses, and finally to publication. It is important to develop protocols for each of these stages to avoid any misunderstandings amongst collaborators. The importance of collaboration rather than working in isolation and the fact that it greatly strengthens our ability to develop wise conservation and management measures should be emphasised to all potential collaborators.

The ACCOBAMS workshop held in September 2022 on Data collection on cetacean population genetics in the ACCOBAMS Area<sup>2</sup> compiled a list of research Institutions collecting and storing samples in the ACCOBAMS area available on the ACCOBAMS website <https://accobams.org/population-genetics/>. The information includes institution names with the corresponding contact person, type of samples (stranded animals, remote biopsy, etc.) and number of samples per species. This is a living document that will be updated regularly with new information.

It is also important to consider collaborations with research groups outside the ACCOBAMS area, especially for species that might exchange individuals with adjacent areas or where the expertise for e.g. new laboratory or analytical techniques is outside the region.

## 2) PERMITS FOR SAMPLES COLLECTION

### a) National permits

The *Conservation Plan (Annex 2 of the Agreement)* binds the Parties to:

- develop “systematic research programmes on dead, stranded, wounded or sick animals, to determine the main interactions with human activities and to identify present and potential threats” (paragraph 4.d);
- “develop the systems for collecting data on observations, by-catches, strandings, epizootics and other phenomena related to cetaceans “ (paragraph 5.a);
- “establish, as appropriate, a sub-regional or regional data bank for the storage of information collected” (paragraph 5.e).

Collecting samples from stranded individuals might require a permit from the competent national authority. Following ACCOBAMS Resolution 1.10 on *Cooperation between national networks of cetacean strandings and the creation of a database*<sup>3</sup>, Parties are encouraged to create a stranding network. Following ACCOBAMS Resolution 3.9 on *Guidelines for the establishment of a system of tissue banks within the ACCOBAMS area and the ethical code*<sup>4</sup>, “their activity must follow procedures approved by the competent State Authorities for treatment of live or dead animals under CITES.

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<sup>2</sup>See report ACCOBAMS-MOP8/2022/Inf25 available at <https://accobams.org/mop8-information-documents-documents-dinformation/>

<sup>3</sup> [https://www.accobams.org/wp-content/uploads/2016/06/ACCOBAMS\\_MOP1\\_Res.1.10.pdf](https://www.accobams.org/wp-content/uploads/2016/06/ACCOBAMS_MOP1_Res.1.10.pdf)

<sup>4</sup> [https://www.accobams.org/wp-content/uploads/2016/06/ACCOBAMS\\_MOP3\\_Res.3.9.pdf](https://www.accobams.org/wp-content/uploads/2016/06/ACCOBAMS_MOP3_Res.3.9.pdf)



Accordingly, Tissue Banks must follow CITES procedures during the acquisition, processing and distribution of tissue fragments or bodily parts”.

Moreover, Article II, paragraph 1, of ACCOBAMS prohibits any deliberate “taking” of cetaceans, including “harassment” and Article II, paragraph 2, of ACCOBAMS establishes the possibility for any Party to grant an exception to this prohibition for the purpose of non-lethal *in situ* research aimed at maintaining a favourable conservation status for cetaceans and after having obtained the advice of the Scientific Committee. According to Resolution 4.18 *on guidelines on the granting of exceptions to Article II, paragraph 1, for the purpose of non-lethal in situ research in the Agreement Area*<sup>5</sup>, a permit is required for all research activities that involve potential harassment of cetaceans in breach of the prohibition on deliberate taking laid down by Article II.1 of the Agreement. Research activities that fall within this category include **sample collection via biopsy sampling (or scrub pad)**. Competent National authorities are able to grant the relevant permit, following the Guidelines of Res 4.18.

Additional required permits might include transportation of samples from the site of collection to the temporary or permanent storage facility (including national tissue banks) within a country and weapons permits to use crossbow/rifle for remote biopsy.

All these permits might be granted by different competent authorities (for ex: *In Spain, Regional authorities are responsible to grant permits for strandings, National authorities for at-sea sampling and the “Guardia Civil” regulates the weapons licences required to use a crossbow or rifle*).

**Researchers should contact competent national and regional authorities to make sure they follow all relevant legislation regarding cetacean sample collection in their country.**

## b) Report to ACCOBAMS

According to ACCOBAMS Resolution 4.18 *on guidelines on the granting of exceptions to Article II, paragraph 1, for the purpose of non-lethal in situ research in the Agreement Area*, Parties should report when granting these exceptions.

## 3) SAMPLE COLLECTION

Sample collection should always be conducted under clean and sterile conditions to minimise the possibility of contamination. In the field, potential sources of sample contamination include the marine environment, human handlers and processing location, as well as cross-contamination from other samples collected concurrently (Van Cise et al. 2022).

Field equipment, such as forceps/tweezers, biopsy tips, scalpels, should be thoroughly cleaned with hot water and detergent to remove visible debris, before rinsing with freshwater. It is essential to remove all traces of detergent as it can affect downstream extraction and analyses. Subsequently,

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<sup>5</sup> [https://www.accobams.org/wp-content/uploads/2016/06/ACCOBAMS\\_MOP4\\_Res.4.18.pdf](https://www.accobams.org/wp-content/uploads/2016/06/ACCOBAMS_MOP4_Res.4.18.pdf)

sampling devices should be sterilised using for example a bleach and ethanol clean method, by (Van Cise et al. 2022):

1. soaking for 10min in a 10% bleach solution,
2. rinsing with potable water,
3. rinsing with 95% ethanol or isopropanol,
4. allowing it to air-dry before storage in an unused, sterile container for future use.

We recommend wearing gloves and working on clean surfaces with sterile equipment whenever possible. Samples should be stored in a pre-labelled container, prefilled with appropriate storage buffer (if used). To avoid losing sample labels, it is recommended to double-label every vial with a waterproof pen and to avoid labels attached with tape as these may fall off. It is advisable to start with the vial with lowest number and to strictly follow numbers, such that they reflect order of sampling (Tiedemann et al. 2012).

At least two samples's aliquots should be collected, one for the analysis and the other for tissue banking. At a minimum, metadata should include the date, time, sample number, latitude, longitude, field conditions, species, sex (if known), size (if known), weight (if known), age class (if known), anatomical sample site, collection method, time from collection to preservation, in-field processing techniques, field storage method, and number of freeze/thaw cycles before archiving (Van Cise et al. 2022). When sampling from stranded animals or carcasses, researchers should estimate the amount of time that has passed since death according the ACCOBAMS/ASCOBANS Best Practice Document on Post-mortem investigations ([ACCOBAMS-MOP7/2019/Doc 33](https://accobams.org/wp-content/uploads/2019/04/MOP7.Doc33_Best-practices-on-cetacean-post-mortem-investigation.pdf))<sup>6</sup>, as tissue degradation can affect data quality and downstream interpretability of results (Van Cise et al. 2022).

Even though these guidelines pertain primarily to genetic studies, samples can be used for other types of analyses as well. The following non-exhaustive list might help researchers optimise sample collection to meet different research purposes.

Skin tissue can be subdivided for several purposes:

- Genetics/genomics and/or sex determination (20-50 mg, immediately stored in liquid nitrogen, dry ice, -20°C, ethanol, DMSO or RNAlater)
- Gene expression/transcriptomics (RNA analysis)/protein analysis (20-50 mg, immediately stored in liquid nitrogen, dry ice, ethanol or RNAlater)
- Stable isotope analysis (20-50 mg, immediately stored in liquid nitrogen, dry ice or -20°C)

Blubber tissue can be subdivided for several purposes:

- Contaminant analysis (>150mg, immediately stored in liquid nitrogen, dry ice or -20°C)
  - store in aluminium foil or glass vials for assessment of persistent organic contaminants (e.g., organochlorine contaminants), plastic additives, PFAS, etc.
  - store in plastic vials for assessment of heavy metals

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<sup>6</sup> Joint ACCOBAMS and ASCOBANS document on Best practice on cetacean post mortem investigation and tissue sampling available at [https://accobams.org/wp-content/uploads/2019/04/MOP7.Doc33\\_Best-practices-on-cetacean-post-mortem-investigation.pdf](https://accobams.org/wp-content/uploads/2019/04/MOP7.Doc33_Best-practices-on-cetacean-post-mortem-investigation.pdf)

- Hormone analysis or fatty acids analysis (>100mg immediately stored in liquid nitrogen, dry ice or -20°C)

## a) Biopsy sampling

Biopsy sampling is the most common method for collecting tissue samples from live, free-ranging cetaceans (Noren and Mocklin 2012), as it avoids the need to physically capture the animals or have direct access to them. In addition to genetic population structure studies (e.g. Louis et al. 2014, Gaspari et al. 2015, Nykänen et al. 2019), the same samples can also be used for other analyses such as contaminants (e.g. Fossi et al. 2000, Ylitalo et al. 2001, Jepson et al. 2016) and foraging ecology studies (Kiszka et al. 2010a, Kiszka et al. 2014), or a combination of methods (Esteban et al. 2016, Giménez et al. 2018). While biopsy sampling typically elicits relatively minor and short-lived behavioural responses with no lasting injuries and is therefore considered 'safe' (Weller et al. 1997, Gorgone et al. 2008, Kiszka et al. 2010b, Giménez et al. 2011), it does have the potential to cause severe injury or death (Bearzi 2000) and should therefore be carried out with utmost care to ensure both animal and human safety.

### *Equipment*

Skin biopsies (epidermis and dermis/blubber) from free-ranging cetaceans can be obtained using an aluminium pole armed with biopsy tips for bowriding animals (Bilgmann et al. 2007) or remotely using a crossbow or modified rifle and darts armed with tips (Krützen et al. 2002, Gorgone et al. 2008, Giménez et al. 2011, Figures 1 and 2).

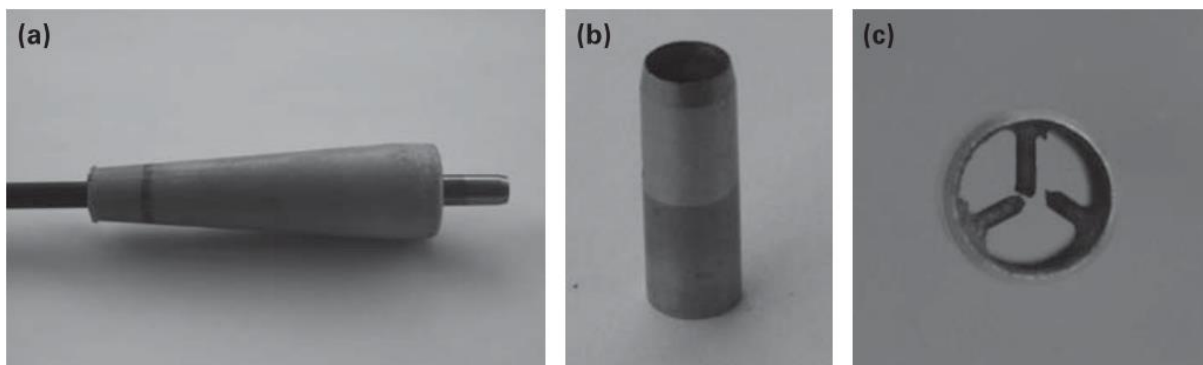


Figure 1. Biopsy darts used for sampling skin and blubber tissue of large delphinids. (a) Biopsy tip attached to the arrow. The stop collar is also visible. (b) Biopsy tip. (c) Inner of the biopsy tip, showing the tooth-like barbs to hold the sample material (Giménez et al. 2011, reproduced with permission)

The choice of equipment may be guided by a number of considerations, including the target species and their typical behaviours (e.g., for species prone to bowriding, the pole system may be used, whereas for boat-shy species a remote system may be needed), the size of the target species (related to the choice of the power of the projectile delivery and the size of sampling tips), the vessel used, the costs and ease of obtaining various types of equipment, as well as local/national legislation related to the use of weapons/firearms. Typically, after recoiling from the sampled animal, the dart/bolt floats in the water and is collected by hand or by dip net. However, in certain conditions tethered darts may be used.



Figure 2. Biopsy sample collected from an adult free-ranging common bottlenose dolphin (*Tursiops truncatus*) in the Gulf of Trieste, northern Adriatic Sea, using a crossbow and a dedicated sampling dart (Picture © Morigenos).

Scrub sampling can also be used to collect epidermal tissue from bowriding animals (See section on *Scrub sampling*).

### *Safety*

Human safety is a priority in any field work including biopsy sampling. This includes the use of the safety stop, avoiding pointing the crossbow/gun towards people and not leaving the sampling equipment unattended, especially when armed. Sampling should only be attempted on apparently healthy animals that do not show evidence of severe malnutrition, poor health or swimming difficulty. Calves or females accompanied by calves should not typically be targeted, although this may be species and study-dependent. The behaviour and movements of the animals should be taken into account, as erratic movements can present challenges to effective and safe sampling. Biopsy samples should ideally be obtained from the area immediately under the dorsal fin (Figure 2) or the flank between the dorsal fin and the upper part of the caudal peduncle, although the target area may be species-dependent. The head, rib cage, pectoral fins and ventral side should be avoided. Particular care should be taken when a non-target animal is likely to surface next to the target animal, which can result in accidental shots in the head. Animals should be approached with care to minimise disturbance, ideally from the side, converging with the predicted movement route of the animals, without crossing their movement path. The required speed and distance will depend on the animal behaviour and the species being sampled. As described above, to avoid the possibility of infection and cross-contamination, the sampling tips and tip mount on the bolts/darts need to be thoroughly cleaned and sterilised before use.

### *Sample storage*

Biopsy samples typically consist of skin and blubber tissue. Individual samples can be immediately stored upon collection as a whole, or they can be subdivided into different aliquots immediately after

the collection to avoid recurrent cycle of thawing (preferred), depending on the analysis to be performed (see *section on Sample preservation*).

#### *Key data to be collected*

As a minimum, information on date, geographic location and species must be collected at each sampling event. Whenever possible, additional information should also be recorded, which includes the metadata mentioned above as well as the equipment and platform used, group size and composition of the target group, age and sex class (if known) of the target individual, behaviour and reaction to biopsy events, the specific body part sampled, distance to the animal and whether or not the sample was retained, and any additional auxiliary information. Information on reactions to biopsy (both individual and group) should be as detailed as possible, and should be collected irrespective of whether an attempt was a hit or a miss. Whenever possible, sampled animals should be photographed for individual identification, to (a) prevent the multiple sampling of the same individual unless there is strong scientific reason to do so, (b) to be able to integrate information from samples with various life history, demographic and other parameters (e.g. Ylitalo et al. 2001, Genov et al. 2019) and (c) assist in follow-up studies of healing in conjunction with subsequent photo-identification monitoring. As much as possible, photographs should be taken at the exact moment of the sample being taken, so that the precise location of the biopsy wound is documented.

#### *Training*

Biopsy sampling should only be performed by trained, experienced and authorised individuals (Gales et al. 2009), under relevant permits (both scientific and for handling weapons) from competent authorities (See section on *National permits*). This pertains to both deploying biopsy darts/bolts and driving of the boat, as a skilful boat driver is crucial to the success of biopsy sampling. Proper training plays a fundamental role in the safe and successful biopsy sampling. Training of inexperienced people should be supervised by experienced samplers and should typically consist of a prolonged tiered approach, including practice shooting of inanimate objects on both land and at sea, as well as “shadowing” experienced samplers carrying out biopsy sampling in the field, before attempting sampling on live animals for the first time. Good communication between the sampler, boat driver and photographer is key to success.

## **b) Stranded animals**

Full post-mortem investigations should be encouraged whenever possible following the Joint ACCOBAMS and ASCOBANS document on Best practice on cetacean post mortem investigation and tissue sampling ([ACCOBAMS-MOP7/2019/Doc 33](#)). Samples from dead stranded animals for genetic and genomic studies can be collected even from decomposed carcasses, or carcasses for which a full post-mortem investigation is not possible (e.g. difficult access, impossible to transport to a specialised facility, lack of equipment/personnel etc.). In those cases, small samples of skin (or possibly muscle) can be collected with a minimally invasive procedure and equipment. Good results of DNA extraction have been obtained from desiccated skin from carcasses undergoing advanced decomposition or from samples dried in a stove (Fontaine et al. 2007, 2014). Indeed, bacterial decomposition tends to be slower in dried tissue. These samples can then be stored frozen at -20°C or in 70% ethanol. Otherwise, baleen plates, teeth and bone samples can be sampled following Museum specimens protocols (See

section on *Museum specimens*). For further guidance on how to best store stranding samples for genetic purposes, see section on *Sample Preservation*.

As detailed above, basic tissue sampling of skin/muscles and blubber on stranded animals can serve several research purposes and should be encouraged whenever possible.

When more detailed post-mortem investigation can be performed on fresh animals, the procedures in the ACCOBAMS Best Practices Guide should be followed ([ACCOBAMS-MOP7/2019/Doc 33](#)).

### c) Environmental DNA

Environmental DNA (eDNA), or the analysis of the genetic material pooled from an environmental sample (water, soil, faeces) has emerged as a powerful approach for characterising and monitoring the diversity in the marine realm. One of the major benefits of this non-invasive method is the capacity of using DNA traces for studying marine organisms, reducing the potential impact of sampling directly from sensitive organisms, and increasing the capacity for an early detection and tracking of rare or invasive species (Goldberg et al., 2016).

Advances and improved approaches for sampling, data generation by means of sequencing technologies and data analyses are responsible for the success of eDNA-based monitoring studies as shown by the exponential increase of related publications. However, for any study it is essential to consider at least the three major processes that affect the accurate detection and characterisation of eDNA: (1) production of eDNA according to the size, health, sex and density of organisms; (2) transport, diffusion rates and current effects of eDNA in water; and (3) eDNA degradation, affecting persistence and amount of DNA in the environment, mainly caused by temperature, pH and light (Goldberg et al., 2015). Therefore a sampling strategy should be carefully designed to reproduce a representative picture of the community to be studied and minimise the probability of contamination. Protocols must include negative field controls; decontamination of field equipment prior to use (e.g. 10% commercial bleach solution) and single-use supplies for eDNA collection. If supplies are to be used more than once, they should be cleaned with bleach and thoroughly rinsed before use (Goldberg et al., 2016).

In the ACCOBAMS area, eDNA studies specifically for cetaceans are in their infancy, with just a few studies exploring the potential of this methodology (e.g. Valsecchi et al., 2021, 2020). However, research developed elsewhere can provide useful examples for sample acquisition and downstream processing. In broad terms, an eDNA workflow will include: (1) environmental sample acquisition, (2) processing and preservation of samples and DNA extraction, followed by (3) eDNA sequencing library preparation, high-capacity sequencing and sequencing data analysis.

Diverse methods for water collection have been tested. For instance, Valsecchi et al. (2021) used ferries as an opportunistic platform for surveys while collecting water samples from the ferry engine room via a derivation pipe intercepting the marine cooling water upstream of the engine. Alternatively, water can be obtained from Niskin or Nansen bottles released at different depths for a vertical stratification analysis (Closek et al., 2019). Other researchers have manually obtained water

samples using pumps for water during or after a cetacean sighting (Parsons et al., 2018; Székely et al., 2021).

The reported number of replicates (range 2-5) and volume of water collected (1 to 4L per sample) varies (Hunter et al., 2018; Juhel et al., 2021; Ma et al., 2016; Parsons et al., 2018). It is recommended to filter samples as soon as possible to maximise eDNA retention and prevent DNA degradation. However, if not possible, 1-2 weeks between collection and filtration has been considered tolerable, keeping the water sample under cold and dark conditions, for which sterile foil laminated plastic containers have been shown suitable (see Figure 3 for a Standard Operational Procedure). Special care should be taken as DNA in water samples can easily degrade and be lost. In addition, researchers must be especially cautious to avoid cross contamination, as this will largely affect the outcome and validity of the results and conclusions obtained (Goldberg et al., 2016). Filtration methods can be diverse and they can be performed on-site of sample collection or at a filtration station. eDNA has been effectively collected from cellulose nitrate, glass fibre, polycarbonate, nylon, polyethersulfone and cellulose acetate filters (Djurhuus et al., 2017; Goldberg et al., 2016; Spens et al., 2017).



**BOX 1 | Standard Operational Procedure (SOP) for Commercial Vessel Transect eDNA Sampling.**

- **(1) VOLUME OF SAMPLED WATER.** It would be good practice to filter large volumes of marine waters (up to membrane saturation), in order to retain as much eDNA as possible. Such a volume is however variable, depending on filter characteristics and on water density (e.g., day-time samples saturate the filters quickly, being rich in phytoplankton). According to our experience, from his study and in the analysis carried out in controlled environment (Valsecchi et al. 2020), we suggest the processing of **4–5 litres of marine water per filter**.
- **(2) FILTER POROSITY.** We did not find any significant difference between the three tested NC (nitrocellulose) filter types with porosity 0.22, 0.45, 0.8  $\mu\text{m}$ . However, we suggest to exclude the 0.22  $\mu\text{m}$  pore-size membrane, as filtration is very slow, and saturation is reached after 2–3 litres, without providing a better quantity/quality eDNA. Between the two remaining filter types, we recommend the use of **0.45  $\mu\text{m}$  pore-size membranes**, in order to retain the smallest biological particles, consistent with findings by Li et al. (2018).
- **(3) NUMBER OF REPLICATES** sample replicates are necessary for both a) increase the total amount of eDNA retrieved from each single sampling station (useful for future analyses) and b) to reduce the false positive and negative rate inbuilt in the metabarcoding technique (Ficetola et al., 2015). Thus, a **minimum of three replicas per station** is advisable (meaning a total of 12–13 litres collected from each sampling station).
- **(4) SAMPLE CONTAINER.** The **Bag in the Box Sampling System (BiBSS)** presents many advantages for the collection/preservation/storage of marine water samples for eDNA surveys (see **Box 2**).
- **(5) SAMPLING STATION DESIGN.** The selection of the geographic positions to locate fixed sampling stations (FSS) invariable over cruises, should aim to: (1) **sample spots of biological interest** based on previous observational/literature data; (2) **prioritize points on bathymetric maps indicating habitat changes** (e.g., edge of continental shelf); (3) **select**

**roughly equidistant sampling sites** (about 35–45 nautical miles apart) along the designated shipping lanes, to cover the whole route and (contingent on vessel schedule) allow the FSSs to be sampled during both day and night time. For the same reason, in order to sample adjacent points at different time of the day, it is recommendable to number the stations following the sampling chronological order, meaning that on the map they will not appear in a consecutive order. For example, if six fix sampling stations are selected, and three will be sampled on the outward journey and three on the return journey, the order along the route, on the map, will be: PortA-FSS6- FSS 1-FSS 5-FSS 2-FSS 4-FSS 3-PortB, with the three underscored sampling stations surveyed in the return journey.

- **(6) TIME BEFORE FILTRATION.** Preferably the water contained in the BiB bags should be filtered **immediately after collection** to maximise eDNA retention, and to simplify sample transportation, by avoiding transfer of bulky water samples. However, if this is not possible, sample storage times of 1–2 weeks between collection and filtering is well tolerated, provided that the BiBs are kept at 4 degrees and away from exposure to the sun during transport. It is important to note that water samples should never be frozen to avoid breaking of cellular components that would result in the release of extracellular DNA which is more easily lost in filtration.
- **(7) TIME BEFORE EXTRACTION.** After filtration **filters should be frozen a.s.a.p.** The time before extraction does not seem to have a negative effect within the tested time interval, although it is advisable to perform DNA extraction a.s.a.p. after filtration.
- **(8) IMPORTANCE TO COMBINE MOLECULAR TO VISUAL/TAXONOMIC CENSUS.** eDNA methods do not have perfect taxon detection and resolution. Therefore it is important to incorporate visual observations to monitor detection efficacy and support the molecular identification of new species (followed by the sequencing of their mitogenome in order to fill reference sequences gaps in molecular databases).

Figure 3. Standard Operational Procedure (Valsecchi et al. 2021, reproduced with permission)



## d) Scrub sampling/skin swabbing

Another alternative sampling method that does not require puncturing the skin is skin swabbing (Harlin et al. 1999, Gales et al. 2002). This procedure is only feasible for cetacean species that tend to approach boats (Farro et al. 2008), usually delphinids. An important consideration when deciding whether to use this method is that the amount of collected skin may be too low for certain analyses.

This method consists of attaching a synthetic fibre scrub pad to the tip of long sticks (Harlin et al. 1999). Samples are collected by friction of the scrub pad against the back of an approaching dolphin to remove and retain sloughed epidermal cells. Sometimes, the sample is not visible on the scrub pad, but is present, and genetic analysis can be undertaken. In the laboratory, the skin adhered to the scrub pad must be removed and DNA extracted using a standard protocol such as that for skin biopsies.

To avoid replicate samples, care must be taken to recognize previously sampled individuals.

Some individuals react to the scrub pad contact (Harlin et al. 1999). They swim faster, jumping or diving after being touched but, on several occasions, they return to the bow. This suggests that the skin swabbing procedure usually only causes very short term disturbance for the animals.

## e) Faeces

Collection and analysis of cetacean faecal samples is another non-invasive method to consider. However, cetacean faeces largely vary in consistency, ranging from well-formatted floating semi-solid clumps to more fluid and dispersal plume (see Hermosilla et al., 2015, 2018). There is a limited time for faecal samples to be collected at the water surface before they sink. Samples can be collected manually, within a few seconds after animals' defecation, when they reach the sea surface and float using a fine nylon mesh net. For more fluid faeces, alternative containers such as plastic bags or buckets can be used, according to the consistency of the sample. Collected samples can be stored in separate plastic vials such as falcon tubes or large eppendorfs, or directly into plastic containers. After collection, samples should be stored at -20°C or fixed in 70 to 96% ethanol (for further information see *Sample Preservation* section).

## f) Museum collections

Collecting samples from field sites and museum collections also allows DNA research (Nakahama, 2021). Great care must be taken with regards to avoiding contamination, thermal degradation (heat, boiling or hot vapour processing), use of DNA damaging reagents (e.g. detergents, benzene vapour, etc), rinsing and washing procedures, and storage conditions. It is optimal to collect and store hard tissue samples unwashed (if possible), dry, cool (if not found frozen) and well isolated. Thermal processing is undesirable.

Among bone and teeth samples, the densest structures are preferable (e.g. teeth; tympanic bulla; periotic bone). However, the mass of the sample also matters and can be crucial, so large bones (e.g. whole vertebrae) and baleen should be collected as well. Dry skin samples can be important; however

they are often subject to microbial contamination. Additionally, all sorts of pure ethanol preparations can be suitable for sampling. Other wet preparations and paraffin embedded samples can be considered under certain conditions (more details in Straube et al. 2021).

Sample collection from bone, tooth and baleen specimens is destructive sampling and should be done with minimal damage to specimens, especially to those of historical importance (Freedman et al. 2018). External examination of the specimen to choose the structure to be sampled is necessary, and sometimes CT scanning is required. Photography, photogrammetry, 3D surface scanning or CT scanning of the specimen is a good precondition before deciding to undertake any destructive sampling. Low speed drilling of small holes is recommended for extraction of bone powder to avoid external damage and heating of the sample. Areas where there was pre-existing damage to the specimen made by collectors are preferable (McDonough et al. 2018). The minimum mass of the bone powder depends on the age and preservation of the specimen.

## g) Ancient DNA

Fulton and Shapiro (2019) have compiled recommendations on how to set up an ancient DNA (aDNA) lab to extract DNA from specimens dating back thousands of years (potentially up to 100,000 or 1,000,000 years). Limits to DNA survival, *postmortem* degradation and contamination pose a nontrivial challenge to laboratory practitioners.

For instance, Fulton and Shapiro (2019) state that:

“The most challenging complication of aDNA research stems from the small proportion of surviving copies of endogenous DNA in an extract, compared to the ubiquitous nature of DNA in the environment. The high sensitivity of PCR allows amplification to proceed from only one or a few starting copies of the target sequence but also often allows contaminating DNA to be amplified. Even when the level of contamination is extremely low, PCR will preferentially amplify modern DNA over damaged ancient molecules. Copies of the targeted fragment may contain blocking lesions, for example, which affect polymerase processing, or may simply be in low abundance so that PCR enters the exponential phase many cycles after the reaction has begun. If only a few contaminant molecules are present and amplified during the initial cycles of the PCR, these will rapidly outnumber (and outcompete) amplification of the authentic ancient DNA.

Contamination can occur at any stages of processing an aDNA sample. The sample itself may be contaminated. For example, bones and teeth are porous, and contamination may occur via adherence or uptake of exogenous DNA from microorganisms in the depositional environment. Contamination may also occur during collection; this is a particular problem for human and microbial studies, where the source of contamination is genetically similar to the target DNA. Contamination may also be introduced during downstream experimental processes, including DNA extraction, sequencing library preparation, or PCR setup. Laboratory personnel may introduce their DNA or any DNA carried into the lab such as on shoes or clothing, reagents may be contaminated with human or animal DNA, and airborne particulates may enter through the building air supply. Previously amplified DNA that is present in the laboratory environment is another potential source of contaminating DNA. Even the tiny amount of DNA that is aerosolized when a tube is opened is likely to contain over a million copies of template in a volume as small as 0.005 µl. This is potentially thousands of times the number of

copies than that DNA which is preserved in an ancient sample. To avoid this problem, strict separation between the laboratory in which ancient samples are prepared and any laboratory where samples are processed after amplification should be maintained.”

Guidelines for aDNA research (Fulton and Shapiro 2019)

- 1) Physical isolation of the pre-PCR ancient DNA facility and strict maintenance of a “one-way” rule of movement up the concentration gradient;
- 2) Negative extraction and PCR controls;
- 3) Appropriate molecular behaviour (Short DNA fragments are prevailing);
- 4) Reproducibility (Multiple extraction and sequencing rounds are involved);
- 5) Cloning (Backup);
- 6) Independent replication;
- 7) Biochemical preservation;
- 8) Quantitation of starting material;
- 9) DNA from associated remains (esp. for microbial research);
- 10) Use of a “carrier DNA” negative in PCR-based assays;
- 11) Time-dependent or preservation-dependent pattern of DNA damage and sequence diversity;
- 12) Critical assessment of results (Phylogenetic sense or otherwise reasonable results)

## h) Other techniques - Sloughed skin

DNA samples can also be obtained from free-ranging cetaceans through the collection of sloughed skin. For this non-invasive method, pieces of sloughed skin floating in the wake of cetaceans are collected either using a dipnet from a vessel, or, by snorkelers. Pieces of skin are removed from the dipnet using sterile stainless steel tweezers and preserved in DMSO or ethanol. The advantage of this method is that the required sampling equipment is minimal and little skill or training is needed.

The drawback of this method is that sloughed skin sinks quickly, leaving a short window to collect the sample. The origin of the skin can therefore generally be attributed to individuals in the immediate vicinity of the collection site. However, assigning a photographic identification of the sampled individual to a piece of sloughed skin is difficult when several individuals are in close proximity, or have recently been in physical contact with one another (Whitehead et al 1990). For that reason, samples of sloughed skin often cannot always be assigned to a given individual, which is an issue for many applications. Sloughed skin DNA is often degraded and its quality and quantity are highly variable (Amos et al. 1992). For example, 40mg is needed from sloughed skin for sperm whales to extract DNA (Drouot et al. 2004). In addition, the number of duplicate samples can be high, increasing the time and cost of the genetic analyses.

The frequency and circumstances of occurrence of sloughed skin varies considerably among species and individuals, and among study areas. The method of collecting sloughed skin has been used with large cetaceans, e.g. sperm whales (Amos et al. 1992), humpback whales (Valsecchi et al. 1998), and fin whales. Most sloughed skin samples have been successfully analysed, confirming that the samples contained enough DNA to perform genetic analyses (e.g. Hoelzel and Donovan 1991; Neveceralova et al. 2022). Sloughed skin is more efficient to determine gender than to study population structure. However, sloughed skin collection may constitute a viable alternative for some studies where biopsy

sampling is either not permitted or otherwise considered undesirable. This method may be more appropriate than direct sampling for platforms of opportunity (e.g. whale watching boats).

## 4) SAMPLE PRESERVATION

### a) General recommendations

Sample preservation methods greatly influence the quality and quantity of genetic material available for analysis. Their objective is to prevent the degradation of DNA and RNA, thereby minimising downstream errors and maximising the scientific value of biological samples. To ensure minimal sample degradation, samples should be stored in adequate media immediately upon collection in the field.

The most appropriate sample preservation method depends on a number of factors, relating to the study design, logistics, availability and budgets. The ‘gold standard’ option for sample preservation may not always be feasible nor desirable given certain constraints and some compromises may have to be made. For instance, not all genotyping approaches require ultra-high quality DNA and may still yield good results from partially degraded samples. Van Cise and colleagues (2022) reviewed best practices for preserving marine mammal biological samples in relation to different ‘omics technologies (e.g. genomics, metagenomics, metabarcoding, transcriptomics). A comparison of preservation media in relation to biospecimen type and targeted analyses is shown in Table 2.

Table 2. Comparison of common preservatives and fixatives used for biospecimen preservation for ‘omics (and other) targeted analytical methods (Van Cise et al. 2022, reproduced with permission)

	Biospecimen Type and Storage Methods														
	skin/blubber/organs					feces/gastrointestinal content					blow/chuff				
	DMSO/5M NaCl (<-20°C)	-20°C	RNA later (-20°C or -80°C)	Organic solvent (e.g. 95% EtOH, -20°C)	LN2 or -80°C	20% DMSO/5M NaCl (<-20°C)	-20°C (dry)	RNA later (-20°C or -80°C)	95% EtOH (-20°C)	LN2 or -80°C	20% DMSO/5M NaCl (<-20°C)	-20°C	RNA later (-20°C or -80°C)	95% EtOH (-20°C)	LN2 or -80°C
Genetics*	1	2	1	2	3	2	2	2	2	3	1	2	2	2	3
Genomics**	1	2	1	1	3	0	1	2	1	3	---	2	?	1	3
Microbiomics***	0	2	1	1	3	0	1	2	1	3	0	1	2	1	3
Transcriptomics	0	0	2	0	3	0	0	2	0	3	0	0	2	0	3
Proteomics	0	0	?	0	3	0	0	?	0	3	0	0	?	0	3
Metabolomics	0	1	0	0	3	0	1	0	0	3	0	1	0	0	3
Epigenetics	1	2	?	2	3	---	---	---	---	---	?	?	?	?	?
Stable Isotopes	1	1	0	0	3	?	1	0	0	3	?	1	---	---	3
POPs	0	1	0	0	3	0	1	0	1	3	?	?	?	?	?
Steroid Hormones	0	1	0	0	3	0	1	0	0	3	0	1	0	0	3
Microplastics	?	3	?	2	3	?	3	?	2	3	?	3	?	2	3

Key: --- analysis inappropriate for this tissue type; 0 unsuitable; 1 good; 2 better; 3 best; ? unknown

\*Sanger sequencing, multilocus genotyping, genetic sex

\*\*2nd generation sequencing

\*\*\*amplicon sequencing, metagenomics

The best available preservation option is to store biological samples in a portable dry shipper containing liquid nitrogen immediately upon collection, until the samples can be transferred to a long-term archival storage at or below -80°C. This kind of cryopreservation halts all chemical and biological processes causing degradation e.g. by inactivating enzymes such as DNases, RNases, or proteinases. If flash-freezing in liquid nitrogen is not possible, a secondary option is to store samples on ice or in a -20°C freezer until they can be transferred to long-term archival conditions. Finally, storage in liquid preservatives, e.g. lab-grade ethanol, DMSO solutions, or RNAlater, can serve as an alternative method without immediate freezing for some types of studies. However, when using liquid preservatives, it is important to consider the potential downstream effects of these chemicals on subsequent analyses as detailed in Van Cise *et al.* (2022).

Additional recommendations outlined by Van Cise *et al.* (2022) include:

- Ideal conditions for long-term storage of biological samples are dry and ultracold (-80°C or below);
- In general, extracted molecules (e.g. DNA, RNA, proteins) stored in a molecule-specific buffer at -80°C are stable for longer periods of time than those stored in tissue;
- High-salt RNA/DNA preservatives will not penetrate frozen tissue unless specifically formulated for frozen tissue;
- The dehydrating effect of ethanol can cause the release of water from the tissue sample, thereby diluting the preservative; it is therefore recommended to replace with fresh ethanol one to two days after initial preservation;
- DNA quality is inversely correlated with the number of times a sample is thawed; researchers should therefore limit the number of times a sample becomes thawed after collection e.g. by dividing the sample into smaller aliquots;
- In terms of sample to preservative ratio, sample preservation should allow for at least five times the volume of the fixative to tissue.

#### *Environmental DNA*

Regarding environmental DNA, the most common way to preserve samples is under the form of eDNA on filters. Following filtration across a porous membrane, the eDNA concentrated on filtered can be preserved by freezing, storing in a liquid preservative, or drying using silica beads (Kumar *et al.* 2019). Direct filtration on-site has the advantage that samples can be immediately stored in an appropriate preservation medium. Under this form, eDNA on preserved filters may be sufficiently stable for months to years (Kumar *et al.* 2019).

#### *Faecal samples*

Faecal samples are best preserved by storing the samples at -80°C, but -20°C can be considered as a secondary option. In addition, samples can also be fixed in 70 to 96% ethanol.

#### *Filter paper*

FTA<sup>®</sup> paper is a commercial product (Whatman<sup>7</sup>) consisting of filter paper impregnated with a proprietary mix of chemicals which serve to lyse cells, to prevent growth of bacteria, and to protect the DNA in the sample. The basic premise of purifying DNA using FTA<sup>®</sup> paper is simple: biological

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<sup>7</sup> <http://www.whatman.co.uk/>

samples are applied to the FTA® paper and air-dried. A small disc of the FTA® paper is then removed, and washed to remove any non-DNA material (the DNA remains entangled within the paper). Analysis can subsequently be performed on the DNA whilst still attached to the paper, or the DNA can be eluted prior to use. Blood, blood clots and tissues have been successfully sampled (Smith & Burgoyne, 2004). As long-term stability of the DNA once it has been eluted from the FTA® paper has not yet been investigated, it is preferable to only process the samples as required.

## b) Long-term storage

To maximise availability of tissues and DNA extracts for future studies, it is recommended to create and store samples in an archive. The creation of a standardised tissue bank for each network assists sample identification and recovery, and simplifies CITES accreditation to facilitate exchanges of samples between networks. An effective sample archive is essential when dealing with rare species, as it may take several years to build up a sample size sufficient for statistically robust conclusions. Availability of a range of samples from multiple individuals and species in one place greatly facilitates long-term pathological, ecological and population studies ([ACCOBAMS-MOP7/2019/Doc 33](#)).

After all related diagnostic and other routine analyses have been performed, samples should be stored for long-term preservation. It is necessary to document samples well and extensively with detailed collecting/field information, collecting/export permit information. If necessary, samples should be double marked with long-life labels. The label should contain a unique number or identifier that makes it easy to find relevant metadata for the sample, e.g. in the archive database. Ideally, an updated database should be available on-line containing information on the animal and the tissues available.

Reference samples consist of well characterised samples for which a long term preservation and traceability is needed with a reduced number of accesses (3/4 time per year maximum). For these samples, specific pre-marked and labelled vials could be used which allow a proper traceability thanks to dedicated scanning system and software managing relevant information. Preservation should be at -80°C for frozen samples. These samples should be considered as reference for future research as a control, a negative or positive sample, or of very rare values, or from which it is possible to obtain cell cultures.

For small samples (including biopsy, scrub pad), a protocol should be developed to make sure that the whole sample is not consumed in one analysis and is still available for future (and potentially more powerful) analyses.

## c) Backup at tissue banks

### *The Mediterranean Marine Mammal Tissue Bank<sup>8</sup>*

This collects and preserves biological material sampled from marine mammals stranded along the Italian coasts of the Mediterranean Sea, in cooperation with the University of Padova, the Italian Ministry of the Environment, the Institutes for Animal Health, and with several other non-profit Italian

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<sup>8</sup> The Mediterranean Marine Mammal Tissue Bank <http://www.marinemammals.eu/index.php>

organisations dedicated to marine mammal research. It is part of the International Environmental Specimen Bank ([IEBS](#))<sup>9</sup> circuit and it has a permanent CITES permit for samples exchanges (IT020).

The Mediterranean Marine Mammal Tissue Bank collects, catalogues, preserves and distributes tissues free of charge, upon motivated request.

The Bank offers additional services, including: - diagnostic pathology - necropsy of whole specimens - age determination - parasite identification - histochemistry and immunohistochemistry - hormone essay in blood, urine and faeces - general info and specific bibliography on marine mammals.

#### *The Ukrainian National Bank of Cetacean Samples*

This was created in 2019 in Kyiv and is the first institution for storing samples from marine mammals in the Black Sea Basin. The main storage, established in the Schmalhausen Institute of Zoology, National Academy of Sciences of Ukraine, comprises a 750 l freezer (-80°C) and an additional -20°C freezer, as well as places for storing dry and wet materials. Good practices guides in sample acquisition, storage and sample exchange were developed and introduced, and contact with the existing Mediterranean Marine Mammals tissue bank established. An agreement was signed on the transfer of samples from other Ukrainian institutions (UkrSCES). Currently, samples from Black Sea cetaceans and historical collections from other regions are stored in this sample bank.

#### *The French national stranding network (RNE)*

This is a structured and participatory science programme created in 1970 in charge of the monitoring of marine mammals stranded along the French coasts. For each stranding, data and samples are taken according to standardised protocols based on the Joint ACCOBAMS and ASCOBANS document on Best practice on cetacean post mortem investigation and tissue sampling ([ACCOBAMS-MOP7/2019/Doc 33](#)). Samples are then conditioned according to the analyses that will be carried out afterwards (i.e. frozen, in formalin, ethanol).

The RNE is scientifically coordinated by the Pelagis observatory<sup>10</sup> under the supervision of the French Ministry of Ecology. The RNE members receive scientific training for standard data collection protocol and a legal framework (the 'green card', i.e. a permit to collect and transport samples). The RNE governance is ensured by a steering committee of ~20 members reflecting the diversity of the RNE's stakeholders. The role of this committee is to evaluate and validate protocols, requests for the use of samples and new requests for authorisation to collect samples.

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<sup>9</sup> The International Environmental Specimen Bank <https://www.umweltbundesamt.de/en/topics/chemicals/international-environmental-specimen-bank-group>

<sup>10</sup> Observatoire Pelagis <https://www.observatoire-pelagis.cnrs.fr/?lang=en>

## 5) EXCHANGE OF SAMPLES

### a) Agreement or Memorandum of Understanding (MOU)

Before exchanging samples, it is very useful to draw up a sample agreement that addresses different aspects of the collaboration between researchers and/or institutions. The agreement can take the shape of a contract-type document that is signed by the relevant parties (this may also be a useful document to provide to the ABS National Focal Point to obtain Prior Informed Consent under the Nagoya Protocol, see section on *Nagoya Protocol*). Alternatively, these discussion points can also be addressed less formally in emails.

Elements that should be considered include:

- Ownership of samples: does the sender retain ownership and is left-over sample material returned or stored after initial usage (if any)?
- Which metadata are required
- Usage of samples: which studies will the provided samples feed into?
- Coauthorship on scientific publications: will the sample provider be included as a co-author on all scientific material that include their samples (and which co-authors will be included from the sample provider institution)
- Paperwork: Who will apply for the relevant CITES/Nagoya permits and inform ACCOBAMS NFPs/SC of the exchange?
- Cost of sending samples/permits: Who will cover the permit/exchange/laboratory costs associated with the sample exchange?
- Feedback of results: What kind of data/information will be provided to the sample provider?
- Confidentiality
- Expected timeline: When can the sample provider expect to see publishable results?

An example of Material Transfer Agreement is provided in [Appendix 4](#). This is not supposed to be a one-fits-all model, rather a draft document that can be adapted to each specific collaboration if needed.

### b) CITES Procedure

The Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) regulates the exchange of biological samples between member countries.

Here we consider the exchange of cetacean samples for non-commercial research purposes, including tissues collected from free-ranging cetaceans (skin and blubber biopsies, blow, sloughed skin, etc.), body parts from stranded cetaceans (tissues and skeletons) or extracted DNA products.

All regular cetacean species in the ACCOBAMS area belong to Appendix I or II of CITES (and Appendix A in EU legislation). According to CITES, as a general rule:

- Appendix I (or EU-A) contains species threatened with extinction for which CITES prohibits international trade except for non-commercial purposes, including scientific research. To



exchange samples of these species, researchers need to apply for **import and export permits (or re-export certificates)** to CITES National Management Authorities.

- Appendix II contains species that require a controlled trade for which CITES might allow international trade if it is not detrimental to the survival of the species in the wild. To exchange samples of these species, researchers need to apply for **import and export permits (or re-export certificates)** to CITES National Management Authorities but no import permit is required.
- To grant export permits, documentation proving that the samples were collected legally might be required (see Paragraph on “*National permits*”)

Some exemptions might apply to the general CITES procedures.

- Registered scientific institutions (RSI):

Exchange of cetacean samples for non-commercial research purposes between two CITES Registered Scientific Institutions are entitled to the exemption provided by Article VII, paragraph 6, of the Convention, which simplifies the procedures<sup>11,12</sup>. No import/export permit (re-export certificate) is required, but samples need to be labelled as “CITES Biological Samples” using a special form<sup>13</sup> and any use of the exemption needs to be reported annually to the Management Authority. A list of RSI is available on the CITES website<sup>14</sup>.

However, some national laws do not recognise this exemption (*ex: Portugal, Georgia*) and some Parties have not officially registered any Institution at CITES (*ex: Georgia, Türkiye*). In those cases, the general procedure described above will apply.

- Simplified procedure for biological samples:

In Resolution Conf. 12.3 (Rev. CoP16) CITES Parties recognized that “Trade [i.e. cross-border movement] in many biological samples, because of their special nature or because of the special purpose of such trade, requires expedited processing of permits and certificates to allow for the timely movement of shipments”. According to Directive EU 338/9 to expedite this process, Article 18 of Regulation (EC) No 865/2006 provides for pre-issued permits and certificates with regard to certain trade in biological samples of specimens of species listed in the Annexes or the CITES Appendices. The type of samples covered by pre-issued permits and certificates and their use, are specified in Annex XI of Regulation (EC) No 865/2006<sup>15</sup>.

- Within EU borders:

According to EU legislation<sup>16</sup>, no permit is required to exchange cetacean samples for non-commercial research purposes between two EU member countries.

However, some countries require the emission of an EU certificate (*ex: Portugal*), and/or documentation proving that the samples were collected legally (see Paragraph on “*National permits*”).

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<sup>11</sup> <https://cites.org/eng/disc/text.php#VII>

<sup>12</sup> <https://cites.org/sites/default/files/document/E-Res-11-15-R18.pdf>

<sup>13</sup> <https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A02012R0792-20220119&qid=1484753629149>

<sup>14</sup> [https://cites.org/eng/common/reg/e\\_si.html](https://cites.org/eng/common/reg/e_si.html)

<sup>15</sup> [https://ec.europa.eu/environment/cites/pdf/referenceguide\\_en.pdf](https://ec.europa.eu/environment/cites/pdf/referenceguide_en.pdf)

<sup>16</sup> <https://eur-lex.europa.eu/eli/reg/1997/338/2022-01-19>

Additionally, when collecting samples from the high seas (Areas Beyond National Jurisdiction), a special CITES Procedure might apply. Indeed, introduction from the sea (IFS) of specimens of species included in Appendix I and II is regulated by the Convention<sup>17</sup>. IFS is defined in Article 1 of the Convention as *transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State*. The Conference of the Parties has adopted additional guidance regarding the practical implementation of these provisions in Resolution Conf. 14.6 (Rev. CoP16)<sup>18</sup> *Introduction from the sea*. These include conditions to issue IFS certificates and import/export permits if the specimen/sample is taken by vessel, is registered in one State and is transported into a different State. This might be especially relevant for pelagic species whose distribution extends in off-shore areas beyond the ACCOBAMS adjacent Atlantic area and/or extension area.

**Due to disparity between national legislations, researchers should contact the CITES Management Authority<sup>19</sup> of the exporting and importing country to ensure that they follow the appropriate procedure.**

**ACCOBAMS Parties should facilitate the exchange by engaging CITES with IWC for a clear and well defined procedure for population genetics and diagnostic purposes.**

### c) Nagoya Protocol on Access and Benefit-Sharing

The Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilisation was adopted by the Conference of the Parties of the Convention on Biological Diversity at its tenth meeting in Nagoya, Japan in October 2010. It entered into force on 12 October 2014 and had 131 Parties as of July 2021.

Access and benefit-sharing (ABS) refers to the way in which genetic resources may be accessed, and how the benefits that result from their use are shared between the people or countries using the resources (users) and the people or countries that provide them (providers).

The Nagoya Protocol on ABS establishes an international legal framework based on three sections:

- Access to genetic resources and their associated traditional knowledge with a view to their utilisation: States can decide to make this access subject to their prior informed consent or to the consent of the traditional communities involved;
- Benefit sharing: the benefits must be shared fairly and equitably, subject to conditions established by mutually agreed terms between the user and the provider country or the traditional community involved;
- Compliance: the States Parties must adopt measures to ensure that access to genetic resources and to the associated traditional knowledge used under their jurisdiction complies with the internal regulations of the provider countries for access and benefit sharing.

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<sup>17</sup> <https://cites.org/eng/prog/ifs.php>

<sup>18</sup> <https://cites.org/eng/res/14/14-06R16.php>

<sup>19</sup> <https://cites.org/eng/parties/country-profiles/es/national-authorities>

This Protocol was negotiated in order to provide greater legal certainty and transparency for both providers and users of genetic resources and associated traditional knowledge.

- Establishing more predictable conditions for access to those resources; and
- Helping to ensure benefit-sharing when genetic resources leave the contracting Party providing the genetic resources.

To gain access, users must first get permission (=PIC) from the provider country. In addition, the provider and the user must negotiate an agreement =MAT) to share the resulting benefits equitably.

- National Focal Points (NFPs): NFPs are responsible for providing information to users on ABS, such as who to contact and what the requirements and processes are in provider countries in order to gain access to genetic resources.
- Competent National Authorities (CNAs): CNAs are bodies established by governments and are responsible for granting access to users of their genetic resources, and representing providers on a local or national level. National implementation measures establish how CNAs work in a given country.
- Prior informed consent (PIC): Permission given from the CNAs of a provider country to a user (individual or institution) prior to accessing genetic resources, in line with an appropriate legal and institutional framework.
- Mutually agreed terms (MAT): An agreement reached between the providers of genetic resources and users on the conditions of access and use of the resources, and the benefits to be shared between both parties. Should include:
  - Type and quantity of genetic resources, and the geographical/ecological area of activity
  - Any limitations on the possible use of material
  - Whether the genetic resources can be transferred to third parties and under what conditions
  - Recognition of the sovereign rights of the country of origin
  - Capacity-building in various areas to be identified in the agreement
- Internationally Recognized Certificates of Compliance (IRCC): issued by CNAs, as evidence that the genetic resources covered by the certificate have been accessed in accordance with PIC and that MAT have been established. Notification to the ABS Clearing-House (ABS-CH)<sup>20</sup>

Information regarding ABS National Focal Points, Competent National Authorities, Legislative, Administrative or Policy Measures, ABS Procedures, National Model Contractual Clauses, Internationally Recognized Certificates of Compliance, National Websites or Databases, Checkpoints or each Party are available on the ABS countries profiles<sup>21</sup>.

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<sup>20</sup> <https://absch.cbd.int>

<sup>21</sup> <https://absch.cbd.int/en/countries>

Overview of the steps that prospective users of genetic resources should follow to be in compliance with ABS requirements (from Davis and Borisenko 2017):

- (1) Potential User finds out about Provider Country ABS rules, via ABS-Clearing House and NFP
- (2) Potential User follows process for PIC and other permissions and negotiates MAT with Provider Country CNA, or other as authorised
- (3) CNA grants PIC or issues evidence PIC was granted by other authorities/communities: CNA issues 'a permit or its equivalent' = national access permit → User can now access genetic resources and begin to share benefits as agreed in MAT
- (4) CNA submits a national permit to ABS-Clearing House. ABS-Clearing House generates IRCC with unique ID number
- (5) User obtains and keeps IRCC number linked to genetic resources, derivatives and data that PIC and MAT cover; User provides IRCC # to other users if genetic resources are transferred (and allowed by PIC/MAT); New PIC/MAT may be needed for new uses/users
- (6) User submits information, including IRCC #, to User Country checkpoints at key stages of utilisation, as determined by User Country rules
- (7) User Country checkpoint submits information to ABS-Clearing House. ABS-Clearing House issues a checkpoint communiqué that is sent to the NFP and CNA of the Provider Country

The Nagoya Protocol might also apply between EU members.

**Due to disparity between national legislations, researchers should contact the ABS National Focal Point of the providing country to understand which processes are required in order to gain access to genetic resources.**

#### d) Inform ACCOBAMS National Focal Point

ACCOBAMS NFPs<sup>22</sup> should be informed of any sample exchanges to update the ACCOBAMS sample database. This could perhaps best be achieved by including this as a new section in national reports [pending decision at MOP8].

## 6) SAMPLE PROCESSING

### a) Techniques/protocols for sample processing

With the advent of more affordable sequencing technologies, an increasing number of researchers are employing genetics and genomics as an integral part of their research. Before doing this, it is of essence for researchers to recognize the importance of high-quality (extracted) genetic material, especially so in the case of high-throughput sequencing (Cammen *et al.* 2016). Regardless of the specific aim of the study, be it within the scope of genetics (e.g. mtDNA, microsatellites) or even genomics (e.g. whole genome sequencing), the first laboratory-based step towards data generation is

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<sup>22</sup> An up-to-date list of ACCOBAMS National Focal Points is available at <https://accobams.org/about/parties-and-range-states/>

the DNA extraction (or in case of transcriptomics, RNA extraction), which can vary with sample type (e.g. blood, muscle).

A prerequisite for the extraction of genetic material is lysis of the tissue. This can be achieved e.g. through the incubation of the sample material in a proteinase K / SDS solution (Strauss 1998).

**DNA extraction** can be achieved using several available kits, such as the DNeasy Blood and Tissue kit (Qiagen) or NucleoSpin® Tissue kit (Macherey-Nagel, Germany), a phenol-chloroform extraction (Sambrook & Russell 2006) or a salting-out procedure as per Miller et al. (1988). For a variety of common extraction methods from skin biopsies, see Morin *et al.* (2015). The in-house formulations for DNA extractions have the advantage that they are considerably less expensive (<0.5€ per sample) compared to commercial kits (e.g. 4€ per sample for Qiagen's DNeasy Blood and Tissue kit). However, especially the phenol-chloroform extraction method involves acutely toxic chemicals and the protocol can be more time-consuming. In comparison, the ammonium-acetate precipitation method uses non-toxic and easily available reagents. [Appendix 3](#) provides 2 protocols for DNA extraction.

#### *Environmental samples*

Specifically for eDNA samples, according to the type of filter used during sample collection and preparation a prior step before DNA extraction shall be considered. Comparative testing of extraction methods according to the type of filter used (Deiner et al., 2015; Liang and Keeley, 2013; Spens et al., 2017; Turner et al., 2014). "Open filters" require handling, filter funnel and vacuum pump, in contrast "enclosed filters" reduces unnecessary handling, and downstream DNA extraction takes place within the filter capsule substantially reducing the entrance of potential ways of contamination. eDNA capturing techniques from "open filters" have been comparatively tested in Liang and Keeley (2013), Turner et al. (2014) and Deiner et al. (2015), and from "enclosed filters" in Spens et al. (2017).

#### *Cetacean faecal samples*

DNA isolation from cetacean faecal samples can be performed using specialised kits for stool or soil (e.g. Promega Maxwell RSC Faecal Microbiome DNA Kit, QIAGEN QIAamp PowerFecal Pro DNA Kit, QIAGEN DNeasy PowerSoil Pro Kit), where an initial step including beads can help to break down larger particles. If available, samples can also be pre-processed on a sonication (or similar) device.

**RNA extraction** is the basis for transcriptome research which deals with gene expression. Several commercial kits are available. RNeasy micro or mini kit (Qiagen) can be used on the sample, depending on the expected RNA yield, or Aurum™ Total RNA Fatty and Fibrous Tissue Kit (Bio-Rad) as a valid alternative for skin biopsies from free-ranging organisms.

In both types of extraction, genetic material is bound to the silica membrane in the spin columns by the addition of chaotropic salts and ethanol, and contaminants are removed through washing with different buffers. Cleanup and yield of pure extracts in the case of DNA is achieved with an RNase treatment, and in the case of RNA, with a DNase (Cammen et al. 2016).

Concentration and the integrity of DNA (or RNA) should be checked either on the nanodrop spectrophotometer (Desjardins & Conklin 2010) or using the e.g. Agilent bioanalyzer (Krupp 2005).

In case of genetic studies, **PCR (Polymerase Chain Reaction)** is necessary in order to amplify genetic material to such an extent that it can be then sequenced reliably (e.g. Sanger). PCR protocols vary, but generally involve extracted DNA, a premix/mastermix (e.g. the PPP Master Mix, Top-Bio s.r.o.; GoTaq G2 Green Mastermix, Promega, Madison, WI, USA), both forward and reverse primers and water. Instead of the so-called mastermix, one can also use a set of Taq DNA polymerase, dNTPs, MgCl<sub>2</sub> and reaction buffers. The PCR template should then be exposed to a thermal cycle by e.g. using a Mastercycler Gradient 96-well system (Eppendorf, Hamburg, Germany). An example of a PCR reaction would be consisting of a initial denaturation at 94°C (30s), followed by 30 cycles of 94°C (30s), 60°C (30 s), and 72°C (30s), and a final extension step of 72°C (10min) (Rosel et al. 2005). In order to have greater success at amplifying genetic material, one can tweak the amplifying profile by either adjusting the timing of each step, number of steps or the exact (annealing) temperature. For an overview, please see Metzker & Caskey (2009).

To confirm amplification, PCR products can be electrophoresed on 1 or 2 % agarose gels (Foote et al. 2019).

Extracted and amplified DNA can then be purified using PCR Clean-up kit (Macherey-Nagel, Germany) or ExoSAP-IT PCR Product Cleanup Reagent (Applied Biosystems), for example, and sequenced either in-house on a e.g. a ABI Prism 3100xl Genetic Analyzer (Applied Biosystems) applying the BigDye™ Terminator Cycle Sequencing Ready Reaction Kit (Applied Biosystems, USA) (Mulholland et al. 2015), or commercially-sequenced (e.g. Macrogen, USA; GATC Biotech, Germany).

When interested in **genome or transcriptome** level analyses, extracted DNA (or RNA) should then be prepared for next generation sequencing (NGS) on an e.g. Illumina platform. For this, the library preparation step is key. Just to give an example, RNAseq libraries can be constructed in-house using Illumina's NEBNext Ultra II Directional RNA library preparation kit, NEBNext Multiplex Oligos and the NEBNext Poly(A) mRNA Magnetic Isolation Module, New England Biolabs (Morey et al. 2022). Libraries can also be outsourced (e.g. to Novogene, Singapore). As an alternative to RNAseq, one can also make use of qPCR; however, the latter can only detect known sequences (cost related pros and cons discussed in Nonis et al. 2014). See Cammen et al. (2016) for an overview of more general advances in high-throughput sequencing, and Foote et al. (2019) for a more detailed methodology concerning library building for genome analyses.

## b) IWC guidelines on quality control

The Scientific Committee of the IWC has compiled guidelines for DNA data quality control and error rate estimation, for genetic studies relevant to IWC management advice (Tiedemann et al., 2012). Their guidelines mainly deal with awareness, minimisation, and control of DNA typing errors. They emphasise the importance of reporting genotyping error rates (or inconsistencies in data sets). Errors can be introduced at various points of a DNA study (Figure 4) and the guidelines propose measures to minimise errors; the most important factors that contribute to errors will likely include mislabelled samples, data entry errors, etc. – sometimes called “handling errors”. In contrast, “systematic errors” are associated with the tendency for particular genetic markers and/or sample types to be susceptible to errors due to their inherent characteristics.

Tiedemann et al. (2012) recommend that measures should be taken to reduce the overall error rate to around 1% for microsatellite data used in population studies and less than 1% for studies using SNPs (Bonin et al. 2004; Broquet and Petit 2004; Morin et al. 2009), even lower rates for parentage and genetic mark-recapture studies to reduce the number of false positives (Bonin et al. 2004, Hoffman and Amos 2005, Waits et al. 2001). In all cases, researchers should report the genotype error rates detected in the course of quality checks (ideally both locus-specific and overall error rates).

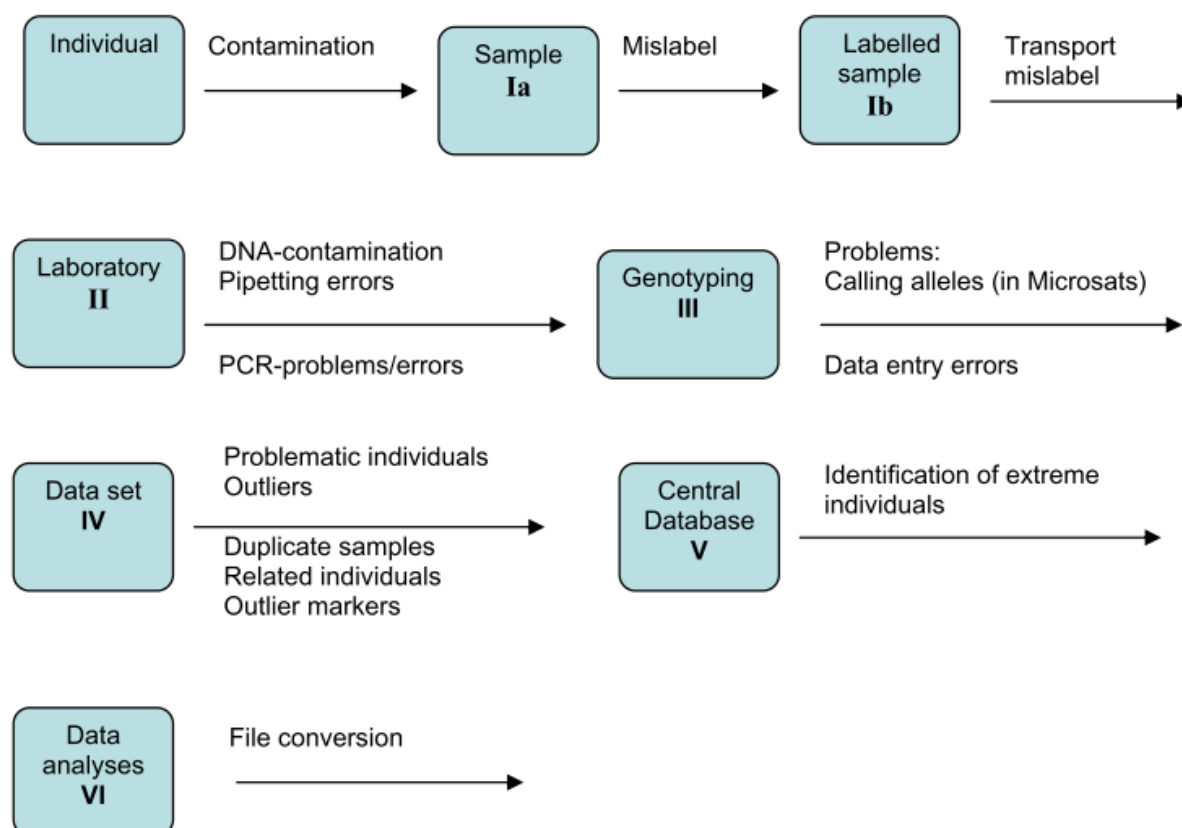


Figure 4. Flow chart on DNA analysis procedures and potential error sources (Tiedemann et al. 2012, reproduced with permission).

### c) Suitable genetic labs

Genetic analyses require a substantial amount of laboratory processing requiring specific equipment (e.g. centrifuge, PCR machine, sequencer, freezers). It may therefore be necessary to seek collaborations with institutions that can provide the required facilities and expertise. Some institutions have specialised in particular kinds of analyses and can process samples for a fee, while others are open to collaborative projects. For consistency and whenever possible, all samples should be processed in the same laboratory, following the same protocol. If more than one laboratory is to be used, appropriate calibration must be carried out, depending on the markers.

A database with suitable genetic laboratories is available in [Appendix 2](#). This list was created based on the information received from ACCOBAMS NFPs and workshop participants in September 2022. It should be noted that the list is not exhaustive and other laboratories may also have suitable facilities.

## 7) DATA ANALYSIS

### a) IWC guidelines on data analysis

Providing detailed guidelines on all types of genetic/omic data analyses is beyond the scope of these best practices and tools are frequently being modified or added. The Scientific Committee of the IWC has already compiled in-depth material on different aspects of data analyses relevant to IWC management advice (Waples et al., 2018) and this is being updated at present. Specifically, Waples et al. (2018) discuss key data analysis aspects on:

- (1) identify/delimit species, sub-species and populations;
- (2) provide estimates of census population size ( $N$ ) and effective population size ( $N_e$ );
- (3) track contemporary movements of individuals;
- (4) estimate long-term levels of connectivity among populations;
- (5) quantify genetic diversity and provide insights into past bottlenecks and population expansions;
- (6) help resolve mixtures of individuals originating from different breeding populations.

They also discuss some important analytical considerations regarding difficulties to identify appropriate threshold levels of population differentiation, demographic independence, and the interpretation of genetic results. The definition of a population in a management context is complex and can run along a continuum from isolation to panmixia. Ultimately, the importance from a conservation perspective is that management measures are robust to uncertainty in population structure. Demographic independence occurs when migration rates are low enough that population dynamic processes are determined primarily by local birth and death rates. Such units require separate management measures.

Several core principles emerge:

- 1) Clarify goals and objectives and quantify expectations before the study begins and determine one or more appropriate markers
- 2) Follow appropriate data quality-control measures
- 3) Test for agreement with Hardy-Weinberg equilibrium e.g. *Fis*
- 4) Consider several indices of genetic diversity
- 5) Statistical tests are a useful starting point, but a significant  $P$  value provides no information other than that the null hypothesis can be rejected. Use a variety of approaches and integrate the results (e.g. see Table 3 below)
- 6) Don't overinterpret point estimates
- 7) Absence of evidence of structure is not evidence of absence - try to estimate the power of the tests you are using (not always easy)
- 8) Important to examine assumptions and possible biases (e.g. ascertainment bias, influence of multiple testing, influence of selection)
- 9) Consider the distinction between scientific results, conclusions, and recommendations
- 10) Effects of linkage are important to consider in genomics studies



Given the continued development of analytical methods, the primary literature should always be consulted as well as reviews by bodies such as the IWC. Researchers should publish their data and annotated scripts to ensure reproducibility of results and improve transparency of analytical approaches.

Table 3. Summary of some of the most common analysis tools used in population genetics investigating management units/units-to-serve (after IWC, 2019) that do not involve the more traditional hypothesis testing approaches where putative populations are defined *a priori*. Note that a thorough study to identify management units will use several approaches and integrate the results and inferences from these, also with non-genetic analyses.

The program **STRUCTURE**<sup>23</sup> groups individuals such that departure from Hardy-Weinberg-Expectations (HWE) within groups is minimised. Until recently, STRUCTURE was the most common hypothesis-free assignment method based on genetics used to investigate population structure. The Simulation studies have shown that the program has relatively low power (typically finding structure only when  $F_{ST}$  is greater than approximately 0.02). While the number of genetic clusters present in the data ( $k$ ) is an input parameter (as a range of possible values), STRUCTURE provides a likelihood for each given value of  $k$ . Whilst STRUCTURE may identify a number of genetic groups with high probability this does not necessarily mean that the identified groups may not have further sub-structure. Under scenarios of spatial overlap in the distribution of stocks, STRUCTURE may detect heterogeneity, but not allow for the assignment of individual specimens to putative ‘additional’ stocks.

**GENELAND**<sup>24</sup> is a landscape genetics program run in R that groups samples into homogeneous putative populations by assuming approximate Hardy Weinberg and linkage equilibrium, and by incorporating individual-specific spatial data. Although similar in approach to STRUCTURE, the spatially explicit component generally provides greater power (as long as stocks are not randomly mixed).

**TESS**<sup>25</sup> incorporates spatial information and conducts Bayesian clustering using tessellations (division of samples into best fit polygons), and thereby provides a landscape genetics method with a distinct methodology from GENELAND or STRUCTURE. The use of fractals in TESS means that some fine-grained elements of structure might be missed or identified out of place.

**BAPS**<sup>26</sup> uses Bayesian methods to capture genetic population structure by describing the molecular variation in each subpopulation using a separate joint probability distribution over the observed loci. This method is based on allele frequency distributions rather than equilibrium expectations, and so may not have the power to detect very recently diverged populations.

The **sPCA** (spatial Principal Component Analysis) approach is based around two key elements – a spatial autocorrelation, implemented using Morin’s  $I$ , and an assessment of allele frequency variance on global and local scales. Although informed by spatial data (which is incorporated into a network structure), it does not use spatial coordinates directly. The presence of multiple populations sampled in the same designated area could exaggerate local variance, potentially obscuring structure at the global scale. For this reason, spatially explicit models using equilibrium tests (as implemented in GENELAND) may be better at extracting structure on a local scale from a mixed assemblage of populations.

<sup>23</sup> <https://web.stanford.edu/group/pritchardlab/structure.html>

<sup>24</sup> <https://i-pri.org/special/Biostatistics/Software/Geneland/>

<sup>25</sup> <http://membres-timc.imag.fr/Olivier.Francois/tess.html>

<sup>26</sup> <http://www.helsinki.fi/bsg/software/BAPS/>

## b) Environmental DNA/faeces

In any study, the choice of data analyses techniques should be driven by the scientific question to be resolved. For eDNA water samples, non-PCR methods (e.g., metagenomics) might not be the best approach for specifically studying selected taxa. Alternatively, traditional PCR from mixed templates (e.g., metabarcoding) allows a molecular marker selection to be specifically amplified and analysed. An important caveat in the selection of molecular markers is the amount of available reference sequences with which the studied sample will be compared. Currently, the mitochondrial 12S and 16S rRNA have been extensively used as reference sequences for cetacean biodiversity assessments (e.g. Valsecchi et al., 2021, 2020). In addition, quantitative (qPCR) methods have been also used to quantify and compare species-specific techniques using taxon-specific genetic markers and for studying population genetic structure among cetaceans (e.g. Parsons et al., 2018; Pinfield et al., 2019).

Similar approaches can be used when dealing with cetacean faecal samples. However, in this case a metagenomic approach can also be useful, as long as good quality reference sequences are available, for biodiversity assessment, and simultaneous characterization of different ecological components (e.g. diet, host, parasites). Similarly, metabarcoding and qPCR analytics could be resourceful for analysing this type of data.

## c) Computational resources for genomic data

Determining which approach (genetic or genomic) is best in a particular case depends on many factors, including the resources available and the data required to address a specific scientific question. The advantage of using newer techniques is increased statistical power and resolution with more markers, and in many cases increased efficiency and cost-effectiveness. In recent years, next generation sequencing (NGS) used to produce genomic data has and continues to revolutionise the field of molecular ecology by allowing us to understand better (with higher resolution) the evolutionary history of populations and species, to delineate populations, detect cryptic population structure and to detect genomic regions that could be under selection. However, the economic and computational resources needed generate a trade-off between the number of loci that can be obtained and the number of populations or individuals that can be sequenced (Aguirre-Liguori et al 2020).

NGS produces large amounts of data, normally generating an additional 100 Gigabytes of data per genome, which will require high computational power, storage, and bioinformatic processing, which can be economically challenging for many institutions. Cloud computing is an option for researchers who currently lack the tools to make full use of this data type and represents a viable (but not free) way to analyse large datasets relatively quickly without having to maintain and upgrade servers.

It is important to recognise that many bioinformatic pipelines and population genomics analyses require fairly advanced computer and programming skills, in addition to understanding population genetics concepts. Bioinformatics pipelines and guidelines for best practices have not yet been standardised. In recent years, significant progress is being made in the development of more user-friendly programmes and clear guidelines for collecting and applying genomics to wildlife biology and management (Gomez-Sanchez & Schlötterer, 2018; Gruber et al., 2018; Ravindran et al., 2019).

Some information to keep in mind:

- The data size of a whole genome for one individual is between 20 and 60 GB depending on coverage and species (cetaceans genome sizes vary between ~2.3Gb-3Gb);
- The original data (RAW data) size for ~100 individuals sequenced with ddRAD-seq (with 20-30X coverage) is around 220GB;
- The initial analyses will typically generate X times (between 2-10 times) the original data size before you get your "final" usable file
- To run population analyses (selection, demographic history, etc.) new files will be generated.

## 8) DISSEMINATION OF RESULTS

Dissemination of results in appropriate ways for the target audience is important to scientists, organisations, communities and policymakers. Effective dissemination can also be useful in fostering collaboration between partners and is essential for effective conservation and management measures to be developed and implemented.

### a) ACCOBAMS Scientific Committee

The ACCOBAMS Scientific Committee is the primary scientific body providing advice to ACCOBAMS to allow it to develop effective conservation and management advice. It is advisable where possible to submit proposed population genetics studies to that body for comment and advice. It is particularly important to submit the results of population genetics studies to the Scientific Committee as soon as possible (i.e. before formal publication in a peer-reviewed journal) since this will allow the results to be incorporated into management advice in a timely manner.

### b) Scientific community

Publishing in an Open access and preprints make research results visible and increase the number of citations. Also, beyond traditional academic publishing (journal articles, books, and conference presentations), digital dissemination can achieve more widespread research uptake and understanding. The use of social media accounts, researchers identifiers, academic social networks (exp. Academia.edu., ResearchGate, LinkedIn, Google Scholar...) and wikis as a specific form of 'open notebook science' can boast millions of users.

### c) ACCOBAMS National Focal Point

Main findings should be summarised in the national report. Pending adoption by MOP8, national reports must also include any sample exchange, samples being collected to feed ACCOBAMS sample database, potential genetic laboratories for cetacean analysis and list of scientific publications.

Researchers should therefore inform their ACCOBAMS NFPS<sup>27</sup> every three years of any updates in their sample collection/exchange.

#### d) Other stakeholders

Regular and ongoing contact with partners can support the spread of knowledge. The information can be shared through the “NETCCOBAMS” platform where updated information and main findings are continuously uploaded. Researchers should also make an effort to notify affected stakeholders through appropriate channels.

#### e) General public

Research results presented in complex and technical jargon should be translated to non-technical language that the general public will find easier to understand. This approach includes communication in the form of popular science magazines and science shows on television and the radio or a press release. Digital technologies offer new online formats for interaction with the wider public and for reaching citizens who would otherwise remain out of reach for traditional methods of communication. These approaches include TED talks broadcasted on YouTube and blogs that often receive millions of views.

## 9) DATA ARCHIVING AND COLLECTING DATA FROM PREVIOUSLY PUBLISHED STUDIES

In order to contribute to open and transparent science, and to secure reproducibility all raw and processed data is recommended to become available after publication or during the reviewing process. These procedures will secure a broad dataset to be available for future studies and reviews. Currently, diverse platforms are available for data storage (e.g. EMBO, GenBank, Dryad, Obis) that can be cross-linked to favour a connection between data usage and publications.

## 10) FORENSIC SCIENCE

#### a) Special case of individual identification of captive individuals

According to document of “Taking of Cetaceans, Dolphinarium and Quasi-Dolphinarium: A Legal Analysis Relating to Accobams Parties” (ACCOBAMS-MOP7/2019/Inf 09), concerns as regards the question of taking of cetaceans and dolphinarium have been expressed by the ACCOBAMS Scientific Committee that remarked “the illegality of live removals of cetaceans from the Black Sea” and called for “an inventory and thorough assessment of individual identity of all bottlenose dolphins kept in captivity by means of genetic, morphological and photo-ID methods”, as well as for the provision of “appropriate administrative measures in order to prevent substitution of dolphins that die in captivity from animals

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<sup>27</sup> An up-to-date list of ACCOBAMS National Focal Points is available at <https://accobams.org/about/parties-and-range-states/>

taken from the wild” (Recommendation 8.2). Some recommendations by the Scientific Committee, in November 2013 the Fifth Meeting of ACCOBAMS Parties adopted Resolution 5.14, entitled Live Removals of Bottlenose Dolphins in the Black Sea (*Tursiops truncatus*).

At its 17th meeting, the Conference of the Parties to CITES (Johannesburg, 2016) further dealt with the above-mentioned species (Recommendations 17.299-301). Parties are now encouraged to use genetic analysis to confirm the origin of the animals prior to the issuance of export permits. Furthermore, Parties are encouraged to establish national or regional repositories where relevant genetic identification data are stored and to make them accessible on-line, as well as to report to the Animals Committee on exports of *Tursiops truncatus ponticus* and their origins.

## b) Species identification for trade

Additionally, wildlife forensic genetic science is becoming accepted as a recognised discipline. The teeth of sperm whale *Physeter macrocephalus* (CITES Appendix I) or killer whale *Orcinus orca* (CITES Appendix II) can be objects of worldwide illegal trade (Baker et al. 2020). They can be scrimshawed or superficially carved, thus retaining their original shapes as morphologically recognizable objects. But for pieces lacking species diagnostic morphological characters, genetic analyses can be powerful tools in their identification. In these cases ancient/museum DNA study protocol is applicable. Silica-based extraction of low volumes (0.01–0.02g) of dentine-cementum powder of sperm whale teeth and scrimshaws, obtained without significant damage to the specimen, can provide sufficient DNA by sequencing of mitochondrial loci (Pichler et al. 2001).

# 11) GLOSSARY OF TERMS

*The glossary was adapted from Waples et al. (2018).*

**adaptation:** the process by which the frequency of alleles that enhance the survival and/or reproductive success (i.e. the fitness) of individuals in a given environment increases over time.

**admixture:** the result of interbreeding and gene flow between genetically-differentiated populations.

**allele:** one of two or more alternative forms of a gene or nucleotide sequence at a given locus.

**allele frequency:** the proportion of all alleles at a given locus that are of a specific type within the group being sampled.

**assignment test:** a statistical method using multi-locus genotypes to assign individuals to the population from which they most likely originated.

**autosome:** a chromosome that is not a sex chromosome.

**balancing selection:** a form of natural selection that acts to maintain polymorphism at a locus within a population

**connectivity:** the degree of exchange between two or more groups or populations. Connectivity can be demographic, in which case it relates to the degree to which population growth and vital rates are affected by dispersal; or genetic, in which case it refers to the exchange of genes (i.e. gene flow).

**demographic:** pertaining to processes that affect the size of a population (e.g. birth, death, dispersal).

**diploid:** having two sets of chromosomes. In sexually reproducing populations, one set is inherited from the mother and one from the father. At a given diploid locus, an individual can have two different alleles (heterozygous) or two identical alleles (homozygous). Loci with autosomal inheritance patterns are diploid (see haploid).

**dispersal:** movement of an individual away from its natal population and into another population. As used in this document, dispersal usually implies that the dispersing individual subsequently reproduces with members of the new population, resulting in gene flow; however, that is not always the case. In many species, but not cetaceans, passive dispersal of gametes or larvae is common

**effective population size ( $N_e$ ):** the size of an ‘ideal’ population that would experience the same rate of genetic drift as the population in question. In an ideal population (also called a Wright-Fisher population), generations are discrete, mating is random, and every individual has an equal probability of contributing genes to the next generation—in which case  $N_e = N$ . In most species, including cetaceans,  $N_e$  is typically smaller than the number of individuals in a population (see population size).

**FIS:** a measure of whether the genotypic frequencies observed in a sample are compatible with those expected under Hardy-Weinberg equilibrium. Positive FIS values indicate a deficiency of heterozygotes compared to HWE, while negative values indicate a deficiency of homozygotes compared to HWE.

**FST:** a measure of the decrease in heterozygosity, relative to that expected under random mating, that occurs as a result of population structure. Low values of FST indicate that allele frequencies are similar among the groups being compared, while higher values indicate more genetic differentiation between groups.

**gene flow:** exchange of genes between populations or groups. Gene flow can result from an individual moving to a new population/group and successfully reproducing with members of that group, or through interbreeding between individuals of different populations or groups without any permanent movement of individuals (only gametes) between groups.

**genetic differentiation:** the accumulation of genetic differences (allele frequencies or sequence substitutions) between groups. Genetic differentiation can occur due to limited gene flow as well as to natural selection on non- neutral genes in sympatric groups.

**genetic diversity:** genetic variation that occurs within individuals, within populations, and among populations.

**genetic drift:** random change in allele frequencies from one generation to the next. Drift is expected to have a greater effect as the effective population size of the population decreases.

**genotype:** the genetic makeup (allelic composition) of an individual, either of the entire genome or more commonly of a certain locus or set of loci (see phenotype).

**haploid:** having a single set of chromosomes, such that only a single copy of an allele or sequence exists at a given locus. In cetaceans, mtDNA is an example of a haploid marker, as it is inherited only from the mother. Sex-specific markers, such as Y-chromosome markers, also exhibit a haploid inheritance pattern (see diploid).

**haplotype:** the combination of alleles at loci that are found on a single chromosome or DNA molecule and thus tend to be inherited together. In cetaceans, haplotype typically refers to the mitochondrial DNA sequence held by an individual. Phased nuclear alleles, e.g. SNP variants physically located on the same chromosome, also constitute a haplotype.

**Hardy-Weinberg equilibrium (HWE):** an idealised state under which the genotypic frequencies in a population are simple products of allele frequencies. In theory, HWE is achieved in randomly-mating populations of infinite size that do not experience migration, natural selection, or mutation.

**heterogeneity:** the presence of multiple genetically or demographically distinct groups within a set of samples.

**heterozygous:** having two different alleles at a gene locus (e.g. Aa)

**homogeneity:** the absence of multiple genetically or demographically distinct groups within a set of samples.

**homozygous:** having two copies of the same allele at a gene locus (e.g. AA).

**hybridisation:** mating between individuals from two genetically distinct populations or species.

**inbreeding:** mating between individuals that are more closely related than by chance alone. Inbreeding is expected to increase homozygosity because there is a greater probability that the genotype of an inbred individual will contain alleles that are identical by descent (inherited from a common ancestor).

**linkage:** a measure of the degree to which alleles of two loci do not assort independently. Two loci in close proximity on a chromosome have a higher probability of being inherited together than do two loci that are further apart and hence are said to be linked. Nonrandom associations of alleles at different loci can also occur by natural selection, migration, or genetic drift without physical linkage.

**linkage disequilibrium (LD):** the nonrandom association of alleles between loci, often because the loci are located close together on the same fragment of DNA. Also known as gametic disequilibrium. Random LD also occurs in all populations due to genetic drift, with magnitude inversely proportional to effective population size.

**locus (plural loci):** a stretch of DNA at a particular place on a particular chromosome; often used to refer to a gene.

**microsatellite:** a genetic marker composed of short DNA sequence units that are repeated multiple times (e.g. ATATATATAT). Although microsatellites can be found on sex chromosomes and in mitochondrial DNA, use of this term in cetacean population genetics typically refers to loci that are biparentally inherited and of nuclear origin. Microsatellite alleles are usually labelled according to the number of repeated units (and thus the size) contained in a given allele, as opposed to being directly sequenced.

**migration:** this term is commonly used in two different ways, to refer to: a) seasonal movements between two geographical areas that are related to the population's reproductive cycle, changes in their physical environment (e.g. ice formation), and/or prey availability; and b) movement of individuals between groups or populations, which might or might not result in successful reproduction and gene flow. Unless otherwise specified, as used in this document 'migration' implies both movement between populations and gene flow.

**mitochondrial DNA (mtDNA):** a small, circular DNA molecule (in animals ~16–20 kbp long) found in the mitochondria (i.e. outside of the nucleus) of a cell. In cetaceans, mtDNA is inherited only from the mother and is thus an example of haploid inheritance.

**mutation:** a change to the genetic material of a cell. Mutations can include single nucleotide changes, deletions, and insertions, as well as duplications, losses, inversions, and translocations of segments of DNA sequence.

**natural selection:** differential contribution of genotypes to the next generation due to differences in survival and/or reproduction.

**nuclear DNA (nDNA):** DNA found in a cell's nucleus. In cetaceans, autosomal nuclear DNA is biparentally inherited, such that an individual's genotype at a given locus contains one allele inherited from its mother and another allele inherited from its father. Nuclear DNA also includes DNA found on sex chromosomes.

**nucleotide diversity:** a measure of genetic variation calculated from DNA sequence data, which measures the average proportion of differences between all DNA sequences (i.e. the average difference between two randomly taken sequences) in a group.

**neutral:** not influenced by natural selection.

**non-model species:** species that have not been subject to extensive research and for which markers or reference genomes may not be available.

**phylogenetic:** a term used to describe evolutionary relationships among taxa.

**phylogeography:** the study of how the genetic lineages of a taxon are distributed across the landscape, in order to better understand its evolutionary history (its origin and spread).

**polymorphic:** having more than one allele at a locus. This term is typically used to refer to a group/population rather than to an individual, which is considered to be heterozygous if more than one allele is present.



**population:** a group of individuals that co-occur in space and time and freely interbreed. Terms that are often used synonymously with 'population' include 'subpopulation' and 'stock,' although the latter can also refer to units of management convenience that do not imply interbreeding.

**population size (N):** the number of individuals in a population, often denoted as the census size ( $N_c$ ). Commonly used to refer either to all individuals or only adults (see effective population size).

**primer:** locus-specific short sequence (oligonucleotides) that is complementary to the regions flanking the targeted microsatellite pattern.

**Restriction site Associated DNA (RAD) sequencing:** sequencing of DNA libraries comprising regions adjacent to restriction sites.

**single nucleotide polymorphism (SNP):** DNA sequence variation that occurs when a single nucleotide (A, T, C, or G) differs at a specific site among individuals or within an individual (for diploid markers).

**sterile:** free from bacteria or other living microorganisms that could cause contamination.

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# Appendix 1 Existing knowledge on population genetics of cetaceans in the ACCOBAMS area [September 2022]

The information presented below is based on scientific literature available in September 2022.

## 1) Summary for each species

### **Minke whale – *Balaenoptera acutorostrata***

The minke whale *Balaenoptera acutorostrata* is a cosmopolitan species, widely distributed across the northern hemisphere and occasionally observed throughout the Mediterranean Sea (more frequently in its western part), with a single historical record in the Black Sea. Calves are consistently recorded among the stranded animals, and a calving ground in the area can be suggested. At least two specimens were reported to be genetically analysed: the control region of the mtDNA (343 and 500 bp) was used (Pastene et al. 2007; Maio et al. 2016). The haplotype of the first sample collected in the Mediterranean Sea was identical to the most common haplotype in the North Atlantic (Pastene et al. 2007), and the haplotype of another specimen also was identical to a North Atlantic haplotype (Maio et al. 2016).

→ Not a priority species - mostly a vagrant species in ACCOBAMS area

### **Fin whale - *Balaenoptera physalus***

The fin whale is present in the North Atlantic, in the central and western Mediterranean and is rare in the southern and eastern parts of the Sea (Cooke 2018). Its occurrence is variable depending on the season and the area (Notarbartolo-di-Sciara et al. 2003). Most studies are focused on the genetic differentiation between the Mediterranean Sea and North Atlantic populations. Most studies employed mitochondrial control region DNA sequences (200-400bp) and microsatellite loci (6-29 loci) to assess population genetic structure, with samples from both free-ranging and stranded animals. Sample sizes ranged between 10 and 500, mostly from the western Mediterranean Sea. Early studies suggested that the small Mediterranean fin whale population (<1,700 individuals; Tardy et al. submitted, ACCOBAMS 2021, Notarbartolo-di-Sciara et al. 2003) was likely resident and genetically distinct from Atlantic individuals through mitochondrial and nuclear DNA analyses (Bérubé et al. 1998; Notarbartolo-di-Sciara et al. 2003). A limited gene flow and movement of some individuals were identified between the Mediterranean Sea and the Atlantic (Palsbøll et al. 2004; Bentaleb et al. 2011, Gauffier et al. 2018). In the Mediterranean population, the overall nucleotide diversity is two times lower than those reported for fin whale populations in other oceans. The presence of two private haplotypes in the Mediterranean Sea supports the genetic isolation hypothesis (Tardy 2021). Demographic histories suggested that North Atlantic fin whales underwent a post-glacial population expansion whereas the Mediterranean Sea fin whale population declined during this period (Schleimer 2021, Tardy 2021). Despite the small size of the Mediterranean population and its particular habitat, the population does not suffer from inbreeding depression (Tardy 2021). Inside the Mediterranean Sea, the population does not demonstrate a regional structure (Tardy 2021). Furthermore, the range

of Mediterranean Sea fin whales includes the Strait of Gibraltar (Schleimer 2021). Genetic results highlight that all individuals contribute more or less equally in maintaining the genetic diversity of the Mediterranean fin whale population (Tardy 2021), which is congruent with the solitary behaviour of the fin whale (Notarbartolo-di-Sciara et al. 2003). In the Mediterranean fin whale population, full-siblings were identified. Further research is needed to better understand the reproductive strategy of this population, and to quantify the gene flow between Atlantic and Mediterranean populations.

- Quantify gene flow between Atlantic and Mediterranean populations
- Delimit the range of the Mediterranean population
- Integrate genetic and non-genetic data
- Increase sampling effort in winter and central and eastern Mediterranean Sea and adjacent North Atlantic
- Increase sample sizes by integrating data from bone/baleen
- *Also see CMP on Mediterranean fin whales*<sup>28</sup>

### **Common dolphin - *Delphinus delphis***

The common dolphin has a wide distribution that includes a series of geographically separate subpopulations, with evidence of some population structure across its range (Jefferson and Van Waerebeek 2002, Amaral et al. 2007, Mirimin et al. 2009, Stockin et al. 2014) probably driven by prey distribution and habitat preferences (Amaral et al. 2012). Very few studies had been done about the population structure of common dolphins in the Mediterranean (Amaral et al 2007; Natoli et al 2008; Moura et al 2013). Most studies employed mtDNA control region and cyt b sequences (400-1121bp) and microsatellite loci (9-15 loci) to assess population genetic structure, with samples (skin and teeth) from free-ranging, bycaught and stranded animals. Sample sizes ranged between 10 and 500, mostly from the extension area, some from Gibraltar and from western Mediterranean Sea. In the Mediterranean Sea, the Almería-Orán thermohaline front has been identified as an environmental boundary that drives genetic differentiation between the Mediterranean population of common dolphins occurring east of the front, and a north-eastern Atlantic population that also utilises the Mediterranean waters of the Alborán Sea (Natoli et al. 2008, Moura et al. 2013). Common Dolphins occurring east of the Almería-Orán front differ genetically from those occurring west of the front (Natoli et al. 2008, Moura et al. 2013). More studies are needed using more samples and markers to have a fine detail of the population substructure of this species in the region.

- Increase markers to refine population genetic structuring, identify local/regional populations
- Assess how decline is affecting genetic diversity
- *Also see CMP on Mediterranean common dolphins*

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<sup>28</sup> Once adopted, all CMPs will be available at <https://accobams.org/species /conservation-plans/>

### **Long-finned pilot whale - *Globicephala melas***

There is little literature on long-finned pilot whale genetics encompassing their whole distribution in the ACCOBAMS area. One study used mitochondrial control region DNA sequences (800bp) and 11 microsatellite loci on samples from free-ranging and stranded animals from the western Mediterranean Sea (80), the Strait of Gibraltar (90), the extension area (50) (Verborgh et al. 2016, in prep.). It found strong genetic differentiation between these areas with both markers. Results also suggested potentially substructure within the western Mediterranean Sea, between the Alboran Sea and the Ligurian Sea/Gulf of Lion, however sample size was low in the latter (15). Genetic diversity was lower in the Mediterranean and Strait of Gibraltar samples, and the latter showed higher levels of inbreeding. Another study compared stranded individuals from the extension area (Portugal mainland and north of Spain) with the rest of the North Atlantic using mitochondrial DNA (400 bp) (Monteiro et al. 2015). The authors found high and significant levels of differentiation between the extension area and the rest of northeastern Atlantic. Haplotype diversity is very low in this species, as only 7 haplotypes have been described in the whole North Atlantic Ocean and 15 worldwide (Kraft et al. 2020), including one private to the Mediterranean Sea and Gibraltar, three from the extension area and one shared (Verborgh et al. 2016, in prep., Monteiro et al. 2015). Although the genetic analyses do not reach the subspecies threshold, the divergence between the populations from the two hemispheres suggest they should be considered Demographically independent populations (Kraft et al. 2020). Another study used the same samples as Monteiro et al. (2015) but looked at adaptive genetic diversity and selective processes with two Major Histocompatibility Complex adaptive loci (MHC DRA and DQB) (Monteiro et al. 2016). Overall nucleotide diversities were relatively low for MHC loci in the North Atlantic, but comparable to other populations. There were significant differences in allele frequencies within the North Atlantic. Patterns of diversity and divergence were consistent with the long-term effects of balancing selection operating on the MHC loci, potentially mediated through the effects of host-parasite coevolution. Future research should include new samples from the northwestern Mediterranean Sea to confirm possible substructure within the Mediterranean Sea. Due to the overall low diversity, it might also require increasing the number of microsatellites or using NGS techniques.

- Evaluate substructure within the Mediterranean Sea
- Include samples from northwestern Mediterranean Sea
- Assess how abundance decline is affecting genetic diversity

### **Risso's dolphin - *Grampus griseus***

Available data on the genetics and population structure of Risso's dolphin in the ACCOBAMS area are limited and mostly focus on nuclear and mitochondrial DNA data. Accordingly, Mediterranean Risso's dolphin is a well-differentiated population from those in UK waters based on eight selected microsatellite loci ( $F_{ST} = 0.0296$   $P < 0.05$ ) and mtDNA control region ( $F_{ST} = 0.260$   $P < 0.001$ ) (Gaspari, 2004; Gaspari et al., 2007). Among the analyses performed, the microsatellite diversity was measured by the level of polymorphisms, testing for linkage disequilibrium and deviations from expected HW genotypic frequencies. In addition, 619bp from the mtDNA control region were analysed under a population genetic landscape by measuring nucleotide diversity, testing for neutrality and performing

phylogenetic analyses. Free ranging samples (n= 24) from Ligurian Sea were used for kinship analysis, suggesting a kin structure with a trend from female philopatry and male dispersal (Gaspari, 2004). Nucleotide diversity within the mitochondrial 16S rDNA has shown that this could be considered a potential molecular marker suitable for studying individual genetic structuring and differentiation among Risso's dolphin populations (Sönmez et al., 2012). Further sampling throughout the distribution area of the species in the Mediterranean Sea and additional research is needed to clarify internal population structuring.

- Samples from throughout species range to gain a more in-depth understanding on substructuring
- Integrate existing samples
- *Also see CMP on Risso's dolphins*

### **Killer whale – *Orcinus orca***

Genetic research on killer whales in the ACCOBAMS area has been done on the subpopulation inhabiting waters in and around the Strait of Gibraltar (SoG) with the aim of assessing genetic differentiation between the SoG and North Atlantic (Foote et al. 2011, Esteban et al. 2016), or on a more global scale (Foote et al. 2019). Former studies employed mtDNA control region (989-bp), complete (~16,390-bp) and partial mitogenomes (12 814–14 689 bp), and up to 19 microsatellite markers to assess population genetic structure, with samples obtained mostly from free-ranging animals, but also a stranded individual (Foote et al. 2011, Esteban et al. 2016). Existing literature shows pod-specific haplotypes which are in line with the matrifocal social structure that is otherwise observed in killer whales (Esteban et al. 2016), low gene flow and no close kinship between the SoG and Canary Islands (CI) subpopulations (Esteban et al. 2016). On a broader scale (NE Atlantic), genetic and ecological differentiation has been observed between the “population C” (which includes both SoG and CI killer whales) and higher latitude populations, A and B (Foote et al. 2011). Foote et al. (2019) also provided a reference SoG killer whale genome, which they included in their global data set of genomes with the aim of examining worldwide population structure of killer whales. Overall, it has been shown that SoG killer whales represent a genetically and ecologically distinct subpopulation that should be treated as a separate management unit in order to preserve genetic, cultural and ecological diversity within this subpopulation of killer whales (Esteban et al. 2016).

- Evaluate inbreeding
- Assess effect of stress/pollution on gene expression (transcriptomics) e.g. in comparison with other killer whale populations
- Delimit population ranging patterns (space and time)

### **Harbour porpoise - *Phocoena phocoena***

Harbour porpoises from the ACCOBAMS area include on the east side the isolated population(s) from the Black Sea and adjacent waters also recognized as a distinct subspecies (*P. p. relicta*). On the west side, porpoises are mostly absent from the Mediterranean Sea, although vagrant individuals likely originating from the Atlantic coasts of Iberia and NW African were reported venturing along the

Mediterranean coasts of Spain. Porpoises from the Iberia and Mauritania reach larger sizes than those from further north within Europe or in the Black Sea (e.g. Smeenk et al. 1992, Donovan & Bjørge 1995, Sequeira 1996, López Fernández 2003, López-Fernández & Martínez-Cedeira 2011). As genetic evidence has been amassed using microsatellite and mitochondrial data, it has become clear that Iberian porpoises form a morphologically and genetically distinct, largely isolated, population (Fontaine et al. 2007, 2010, 2014) closely related to the population in Northwest Africa (Fontaine et al. 2014). Fontaine et al. (2014; see also the review by Fontaine 2016) proposed that the Iberian and NW African porpoises together represent a distinct ecotype adapted to upwelling systems. Considering their phylogenetic divergence from the subspecies described in the North Atlantic (*P. p. phocoena*) and in the Black Sea (*P. p. relicta*), their allopatric distribution, and their morphological and ecological distinctiveness, it was proposed to raise this distinct ecotype as a separate subspecies with the name *P. p. meridionalis* (Fontaine et al. 2014, Fontaine 2016; Ben Chehida et al. 2021a,b). Genetic diversity at nuclear microsatellite and mtDNA markers of the Iberian population was lower than in all other documented harbour porpoise populations except that of the Black Sea. Phylogeographic analyses and paleo-habitat modelling suggested that the upwelling ecotype of harbour porpoise present in the Iberian Peninsula and Mauritania descended from a now extinct paleo-population living in the Western Mediterranean Sea during the last glacial maximum (~20,000 years before present (Fontaine et al. 2014; Ben Chehida et al. 2021a,b). Porpoises likely entered the Mediterranean Sea from populations in the Northeast Atlantic and split from them within the past ~30,000 years. Porpoises subsequently disappeared from the Mediterranean during the postglacial warming period, but these lineages gave rise to the Black Sea and “upwelling” groups, around 15,000 years ago, with the latter giving rise to the Iberian and NWt African groups. Sequencing one quarter of the mitogenome for individuals collected over a 30-years time period (1990-2020) revealed a dramatic decline in diversity, but also identified haplotypes that were distinct from the typical Iberian mitotypes. These were more closely related to the Mauritanian clade, but still distinct from it (Ben Chehida et al. 2021b). This suggests that cryptic genetically distinct population(s) might exist between the Iberian Mauritanian populations. Further studies improving on the sampling and the amount of genetic markers (whole genomes and also amplicon sequencing, Morin et al 2021) are required to shed light in these enigmatic groups existing close to the Gibraltar Strait, but also to assess the extent of local adaptation in these populations, as well as their demographic trends.

Key actions (some already ongoing):

- Increase sampling along the NW African coast and Southern Iberian coast to extend the geographic coverage, but also include time series to assess the population trends
- Develop genomic surveys to screen cryptic genetic structure, assess the extent of gene flow and local adaptation in harbour porpoise populations, assess demographic trends.
- Whole genome sequencing analyses of modern and ancient samples.

### **Black Sea harbour porpoise – *Phocoena phocoena relicta***

Black Sea harbour porpoises are frequently seen in the Azov and Black Seas and the Turkish Straits System (TSS, which includes Marmara Sea, Istanbul and Çanakkale Straits) and are rarely observed in the Aegean Sea. The Black Sea harbour porpoise is differentiated morphologically and genetically from the Atlantic ones. It was estimated that Black Sea and North Atlantic harbour porpoises have diverged

within the last 7000 years ago and followed independent evolutionary paths (Fontaine et al. 2010; 2014). The divergence between the western and eastern populations in the Mediterranean Sea likely occurred around ca. 14 kyr BP (Fontaine et al. 2014). Most studies were carried out using mitochondrial control region DNA sequences (344-5085bp) and microsatellite loci (10-13 loci) to assess population genetic structure, with samples from both stranded and bycaught animals (Rosel et al. 1995, 2003; Viaud-Martinez et al. 2007; Fontaine et al. 2007, 2010, 2012, 2014; Tonay et al. 2012, 2017; Llavona et al. 2014; Lah et al. 2016; Uzun et al. 2017, 2018; Ben Chehida et al. 2020). In addition, double digest RAD-sequencing methods were used to analyse the nuclear DNA (2872-4924 SNPs) of Black Sea harbour porpoises, with the sample sizes ranging between 3 and 102 (Lah et al., 2016; Uzun et al. 2018). Black Sea is the source for the Aegean Sea porpoises (Rosel et al. 2003; Viaud-Martinez et al. 2007; Fontaine et al. 2012; Tonay et al. 2017). Despite morphological heterogeneity, the genetic homogeneity found in the Black Sea and adjacent waters, supporting a single population (Ben Chehida et al. 2020). However the possibility of locally isolated harbour porpoise populations in the TSS or in the Azov Sea has also been suggested (Tonay et al. 2017; Uzun et al. 2017, 2018) and could be associated with selective processes involved in local adaptation (Ben Chehida et al. 2020). Fontaine et al. (2012) revealed a strong population reduction (~90%) that occurred within the past 50 decades, due to massive killing and bycatch of the species. In addition to these, there is a different study on performance of several biomolecular methods for species identification in 800 to 1600 years old odontocete bone samples (Biard et al. 2017).

- Increasing genomic coverage because genetic diversity is low
- Selection pressures/adaptation
- Whole genome sequencing analyses of modern and ancient samples.
- Time series genetic analyses to investigate demographic and selective changes
- Impact of the Ukrainian conflict on the harbour porpoise population in the Black Sea

### **Sperm whale - *Physeter macrocephalus***

Existing literature on sperm whale genetics in the ACCOBAMS area has mostly focused on the genetic differentiation between the Mediterranean Sea and North Atlantic populations. Most studies employed mitochondrial control region DNA sequences (200-400bp) and microsatellite loci (3-16 loci) to assess population genetic structure, with samples from both free-ranging and stranded animals (Drouot et al. 2004, Engelhaupt et al. 2009). Sample sizes ranged between 4 and 116, mostly from the western Mediterranean Sea. The sex ratio of females to males was 0.5:1 which is significantly different from an expected ratio of 1:1 (Engelhaupt et al. 2009). Worldwide, mitochondrial DNA diversity is very low in sperm whales, compared to other cetaceans, consistent with a recent population expansion (Alexander et al. 2016, Morin et al. 2018). Within the Mediterranean Sea, all sampled individuals carried the same mitochondrial haplotype, precluding further analysis on mitochondrial diversity (Drouot et al. 2004, Engelhaupt et al. 2009, Alexander et al. 2016). Morin et al. (2018) reported two mitogenome haplotypes for their four Mediterranean samples. Overall, levels of nuclear differentiation are lower than mitochondrial differentiation, which was hypothesised to result from female philopatry and male-biased dispersal. Violi et al. (under review) employed 5000-10,000 SNP loci from RAD sequencing to assess the population genetic structure within the Mediterranean Sea (west vs east). Their results suggest significant differentiation between eastern and western

Mediterranean sperm whales. The dispersal between Mediterranean and North Atlantic sperm whales has not been quantified and the demographic independence of the Mediterranean population has not been confirmed. Given the influence of social groups on genetic differentiation in other oceans, future studies should also assess whether fine-scale genetic structuring exists within the Mediterranean Sea.

- Increase sample coverage in eastern/southern areas and North Atlantic adjacent areas
- Delimit the range of the Mediterranean population
- Quantify gene flow between North Atlantic and Mediterranean Sea populations
- Focus on local substructuring (e.g. in relation to social groups/ vocal clans)

### **Striped dolphin - *Stenella coeruleoalba***

The majority of the studies focus on Mediterranean samples (mostly from Western part of the basin), compared to North Atlantic and Pacific samples. The research mainly focuses on: 1) genetic diversity and population structure of striped dolphins in the Mediterranean Sea (Bourret et al. 2007; Gaspari et al 2007); 2) Social kin associations (Gaspari et al 2007); 3) Spatio-temporal patterns of genetic diversity in the Mediterranean (also related to epizootic outbreaks) (Gaspari et al 2019); 4) Biogeography and temporal evolution of striped dolphin population (Med vs Atlantic) (Gkafas et al. 2017). Most of the studies used microsatellites (from 5 to 15 loci) and mtDNA control region. Recent studies suggest the existence of a separate subpopulation in the Gulf of Taranto (Italy, Ionian Sea, Ciccacese et al. 2019). Gaps remain mainly to study the eastern Mediterranean population, to focus the studies on the possible implications of epizootics outbreaks and other potential stressors in genetic diversity and population resilience. Genomic studies are lacking so far.

- Increase sampling effort in eastern Mediterranean Sea
- Use genomic markers to resolve fine-scale structuring
- Focus on the possible implications of epizootics outbreaks and other potential stressors on genetic diversity and population resilience (transcriptomics)

### **Rough-toothed dolphin – *Steno bredanensis***

The information on the rough-toothed dolphin in the Mediterranean is very limited, particularly so with respect to genetic origin and population structure. Based on limited sample size (3 from Israel and one from Aegean Sea, Greece) and analysis of mtDNA sequences, Mediterranean samples appear to cluster strongly with Atlantic ones. Main data gaps relate to general information on the distribution and abundance of the species, and the lack of samples across the ACCOBAMS area.

- More samples, increase coverage
- Confirm origin of the population
- Generate reference information for non-invasive sampling

### **Bottlenose dolphin - *Tursiops truncatus***

Bottlenose dolphins in the Northeast Atlantic and Mediterranean Sea inhabit a wide range of habitats throughout their range. Several genetic studies identified in these areas a clear population

structuring based on mitochondrial (control region), nuclear microsatellites (9-25 loci) and Single Nucleotide Polymorphisms (~26000 SNPs) with varying geographical scales (e.g., Natoli et al 2005; Louis et al 2014a; Nykänen et al 2019; Moura et al 2020). Populations typically segregate between lineages inhabiting pelagic and coastal environments (Louis et al 2014b; Gaspari et al 2015; Nykänen et al 2019), the divergence estimated between these two ecotypes likely occurred between the Last Glacial Maxima and the post-glacial period (~10320 yr BP; Louis et al 2014b). Pelagic dolphins from the Atlantic and Mediterranean Sea likely diverged during a period of high productivity in the Mediterranean Sea (Louis et al 2014a). On coastal environments, bottlenose dolphin populations commonly consist of distinct social communities that display fine-scale behavioural differentiation, resulting from localised adaptations on small spatial scales resulting in fine scale genetic structuring (Natoli et al 2005; Fernandez et al 2011; Louis et al 2014a; Nykänen et al 2019). Its population structure appears to correlate strongly with environmental differences (Natoli et al. 2005, Louis et al 2014a, b). Data shows evidence of fine scale population structure within the Mediterranean basin, with a clear population division within the Adriatic and the Levantine Seas (Gaspari et al. 2013, Gaspari et al 2015).

- Include samples from Iberian area and winter sampling
- Integrate genetic and non-genetic data
- *Also see CMP on bottlenose dolphins*

#### **Cuvier's beaked whale - *Ziphius cavirostris***

Cuvier's beaked whales are deep diving pelagic cetaceans. They are encountered throughout the Mediterranean Sea, and are confined to deeper regions of high slope. Despite Cuvier's beaked whales life history parameters being still poorly known, information on diving behaviour, habitat preferences and distribution in the Mediterranean Sea are available. Much of the knowledge has come from stranding data and Ziphius initiative undertaken under the ACCOBAMS. The species is listed as vulnerable in the Mediterranean and contains fewer than 10,000 mature individuals. Very few genetic analyses are available, and mtDNA (300 bp) analyses indicated a high degree of differentiation from the Atlantic population and low maternal gene flow among ocean basins. It was suggested that Cuvier's beaked whales in the Mediterranean Sea should be considered as a separate Evolutionarily Significant Unit, distinct from the other populations worldwide. The sample size in the mediterranean was very low (n=12 Greece + 05 from levantine and Aegean Seas) and individuals were characterised by only two private mtDNA haplotypes T3 and T4 (Dalebout et al. 2005, Tonay et al 2019). There have been 33 Ziphius haplotypes globally identified; New markers such as ddRAD are being tested to assess the population structure for this species (Carroll et al. 2016). A new study including samples using nuclear ddRAD SNPs (n=33) and full mitogenomes (n=3) found that Mediterranean Sea samples have the lowest levels of diversity, indicate population contraction and diverged from the North Atlantic approximately 0.5 mya (Onoufriou et al. 2022). The authors also identified substructure between the eastern (east of Sicily) and western (Ligurian Sea) basins that they consider 2 ESUs (Onoufriou et al. 2022).

- Increase sample size and coverage to further understand population structuring within the Mediterranean Sea.



### **Black Sea common dolphin – *Delphinus delphis ponticus***

Black Sea common dolphins are frequently seen in the Black Sea and the Turkish Straits System (TSS, which includes Marmara Sea, Istanbul and Çanakkale Straits). Several studies of the Black Sea common dolphin in the ACCOBAMS region are currently available, focusing on genetic differences between Atlantic, Mediterranean and Black Sea populations. The studies employed mitochondrial control region DNA sequences (404-428bp), cytochrome b (360bp) and microsatellite loci (9 loci) to assess population genetic structure, with samples from stranded animals (Rosel et al. 1994, Natoli et al. 2008; Tonay et al. 2020). Sample sizes ranged between 4 and 37. Rosel et al. (1994) and Natoli et al. (2008) suggested that differences do exist between Black Sea and Mediterranean common dolphins, although differentiation was not significant due to small sample size. However, such differentiation was not observed by mitochondrial DNA analyses comparing samples from Mediterranean Sea, TSS and the Black Sea (Tonay et al. 2020). In comparison to the Atlantic Ocean, the haplotype and nucleotide diversity values were lower in the Black Sea, TSS, and western Mediterranean Sea, suggesting the migration of Atlantic populations into these two seas. (Tonay et al. 2020). The protection of open seas and narrow straits to improve connectivity may be crucial for common dolphins, which have high dispersal potential (Tonay et al. 2020). It will be necessary to carry out genetic research on nuclear and mitochondrial DNA with a greater number of samples to better understand the phylogeny and genetic connectivity between subpopulations of the species.

- Increase sample size to re-assess differentiation between Black Sea and Mediterranean Sea
- Integrate existing samples (e.g. from museums) to increase sample size
- Add genomic analyses/coverage, including a reference genome
- *Also see CMP on common dolphins*

### **Black Sea bottlenose dolphin – *Tursiops truncatus ponticus***

Black Sea bottlenose dolphins inhabit most of the Black Sea and the Turkish Straits System (TSS, which includes Marmara Sea, Istanbul and Çanakkale Straits) and are seasonally observed in the Azov Sea. The Black Sea bottlenose dolphins are morphologically differentiated from the Mediterranean ones. It was found that they belong to at least two different genetic lineages originating in the Mediterranean Sea (Natoli et al. 2005; Viaud-Martinez et al. 2008; Moura et al. 2013) and these groups split from the Mediterranean clades ca. 10 000 years ago possibly showing two colonisation events and a founder effect (Moura et al. 2013). Moreover, presence of these two lineages was shown in ancient bone samples 800 to 1600 years old (Biard et al. 2017). Several studies were carried out using mitochondrial control region DNA sequences (404-630 bp), complete mitogenomes and microsatellite loci (9 loci) to assess population genetic structure, with samples from stranded and captive animals (Rosel et al. 1994; Natoli et al. 2005; Viaud-Martinez et al. 2008), only stranded animals (Tonay et al. 2018) and on aDNA (Biard et al. 2017). Low genetic diversity is clear and intra Black Sea structure can be suggested (Moura et al. 2013; Tonay et al. 2018), as well as female dispersal and gene flow from the marginal habitat (Natoli et al. 2005; Moura et al. 2013). In overall, differentiation of bottlenose dolphin population from the adjacent populations and low levels of genetic diversity indicates a conservation concern (Tonay et al. 2018).

- Increase sampling throughout Black Sea basin, with focus on local populations
- Genomics to assess demographic history, origin, relationship with Mediterranean populations
- Delimit ranging patterns in relation to adjacent areas, gene flow among populations
- Identify origin of captive bottlenose dolphins, assignment to wild populations and develop marker guidelines suitable for individual identification
- *Also see CMP on bottlenose dolphins*

## 2) Summary table of scientific literature

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
<i>Balaenoptera physalus</i>	Bérubé et al. 1998	Mediterranean Sea, North Atlantic, Sea of Cortez	Population genetic structure	Tissue from stranded and biopsy animals	74	mtDNA-CR 288bp 6 microsatellite loci	Existence of several recently diverged populations in the NA and Med. Some limited gene flow.
	Palsbøll et al. 2004	Mediterranean Sea, North Atlantic	Discerning between recurrent gene flow and recent divergence	Reusing samples from Bérubé et al. 1998	72	mtDNA-CR	Favouring a model of recurrent gene flow. Migration rate at 2 females/generation.
	Tardy et al. 2020	Mediterranean Sea	Characterised new microsatellite markers	Tissue from stranded and biopsy animals	50	39 microsatellites	25 new microsatellites. Successful cross-amplification
	Schleimer et al. 2021	Mediterranean Sea, North Atlantic	Population genetic structure	Tissue from stranded and biopsy animals	Med: 154 Gib: 53	mtDNA-CR 450bp 20 microsatellite loci	Contemporary connectivity between Med and NA. The range of Med Sea fin whales includes the Strait of Gibraltar. NA fin whales underwent a post-glacial population expansion whereas the Med Sea fin whale population declined during this period.
	Tardy 2021	Mediterranean Sea	Population genetic structure	Tissue from stranded and biopsy animals	495	29 microsatellites mtDNA-CR 465bp	Population size at 1,300 individuals. Effective population size: 400-500 individuals. Population composed of numerous families. No inbreeding depression.

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
<i>Balaenoptera acutorostrata</i>	Maio et al 2016	Mediterranean Sea	identify origin of the stranded specimen	stranding	1	mtDNA-CR 343 bp	The haplotype was identical to a haplotype from the North Atlantic
	Pastene et al 2007	Mediterranean Sea	genetic population structure	stranding	1	mtDNA-CR 500 bp	The haplotype of the single sample collected in the Mediterranean Sea was identical to the most common haplotype in the North Atlantic
<i>Delphinus delphis</i>	Amaral et al 2007	NE Atlantic	Pop. structure in NE Atla.; phylogenetic relationship within the genus <i>Delphinus</i>	Tissue and tooth samples from stranded animals	55 extension area + 13 NE Atl	mtDNA (630 bp control region, 1121 bp cyt b)	Evidence of sex-biased pop structure in NE Atl.. Some highly divergent Dd groups in Iberia P.
	Natoli et al 2008	Mediterranean sea and ENE Atlantic.	Pop structure of Mediterranean population and gene flow with Atlantic pop.	Tissue from stranded and biopsy animals	53 Med + 5 Black sea + 47 extension area	9 microsatellites + mtDNA (control region 428bp)	Small pop differentiation between E and W Med. Directional gene flow suggests movements of females out of Med. Possible isolation of black sea population from Med population (small sample size)
	Moura et al. 2013	European waters and Mediterranean	Population structure of EU common dolphins	Tissue from stranded, bycaught and biopsy animals	515 samples (253 from extension area, 17 Gibraltar; 26 Med)	15 microsatellites	Panmixia across most of the range. Eastern Mediterranean (Greece waters) is differentiated from the rest.
	Ball et al 2017	Portugal	Kinship structure	Biopsy samples	204 Portugal	15 microsatellites	Groups with close kin were found in the same area suggesting some level of site fidelity.

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Lee et al. 2018	-	Report the complete mitogenome of common dolphins	Tissue from bycatch	1 sample	16386 bp of mtDNA (complete genome)	Multigene phylogeny revealed that <i>D. delphis</i> was most closely related to <i>S. coeruleoalba</i>
<i>Globicephala melas</i>	Miralles et al. 2013	Global	Interspecific Hybridization in Pilot Whales	stranded animals, biopsies and museum collections	7 extension area + 50 NE Atl	8 microsatellites + mtDNA control region (703 bp)	Introgressive hybridization, current temperature increases and lower genetic variation in <i>G. melas</i> suggest that this species could be at risk in its northern range
	Monteiro et al. 2015	North Atlantic Ocean	Population differentiation in in the North Atlantic	Skin from stranded animals	32 from ACCO extension area (+ 134 from North Atl)	mtDNA control region (400 bp) (+ <i>fatty acids and stable isotopes</i> )	high and significant levels of differentiation among the northeastern Atlantic. 3 haplotypes in extension area (total 6 in NA)
	Monteiro et al. 2016	North Atlantic Ocean	Population differentiation in in the North Atlantic	Skin from stranded animals	119 from North Atlantic Ocean, including 26 from extension area	Major Histocompatibility Complex genes (MHC DRA and DQB)	Significant differentiation between extended area and rest of the NA

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Verborgh 2015, Verborgh et al. 2016	North Atlantic Ocean + Mediterranean Sea	Population structure	Skin from free ranging + stranded animals	Strait of Gibraltar (90), Mediterranean S (80 = 65 Alboran + 15 Ligurian S), extension area (50) + NE Atlantic (28)	11 microsatellites + mtDNA control region (800 bp)	Diversity is lower in Med/Gib. 4 subpop : 1 Strait of Gibraltar + 1 inner Med (possible substructure between Alboran and Ligurian) + 2 NE Atlantic
	Kraft et al. 2020	Global	Global phylogeography and genetic diversity	Reusing samples from previous studies (including Monteiro 2013 and Verborgh 2015) + rest of the world	All samples from Monteiro et al. 2015, 2016, Verborgh et al. 2016	15 microsatellites + mtDNA control region (345 bp)	
<i>Grampus griseus</i>	Gaspari et al. 2004	Ligurian and Mediterranean	Assess the differentiation between populations from the North Atlantic and the Mediterranean Sea. individual relatedness between groups	Skin of free ranging (24) and strandings (6)	30	Microsatellite diversity analyses	The Mediterranean population showed a higher level of variability than the ENA population
	Gaspari et al 2007	Ligurian and Mediterranean	knowledge about their population genetic structure	Free ranging tissues and strandings	33	Microsatellite diversity analyses	Rich genetic diversity

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Chen et al. 2018	North Pacific and North Atlantic Oceans.	If Risso's dolphins show an association between genetic diversity and biogeographical provinces.	titrated DNA reagents and skin or muscle tissue preserved in 99% ethanol	0 in the Mediterranean 349 Atlantic and Pacific Ocean	Microsatellite data	Evidence for biogeographical endemism in a highly mobile marine mammal species
	Sönmez,R., et al 2012	Turkey	understand the genetic structure of the individual.	muscle tissue	1	Mitochondrial 16S rDNA	Alignment of 529 bp length of 16S achieved
<i>Orcinus orca</i>	Foote et al. 2011	Strait of Gibraltar (+ North Sea)	Characterization of population structure of killer whales in the North Atlantic	Skin biopsies (10) + necropsy (1)	11	mtDNA control region (989-bp) + complete (~16,390-bp) and partial mitogenomes (12 814–14 689 bp) + 17 microsatellite markers	Resource specialisation leads genetic differentiation in the absence of physical barriers to gene flow
	Esteban et al. 2016	Strait of Gibraltar (+ Canary Islands)	to assess the level of gene flow and ecological differences between SoG and CI populations	Skin biopsies (11) + necropsy (1)	12	mtDNA control region (989-bp) + complete mitogenomes (~16,390-bp) for a subset of individuals + 19 microsatellite markers	Pod-specific haplotypes, low gene flow between the SoG and CI populations, ecological differences
	Foote et al. 2019	Strait of Gibraltar (+ global)	to elucidate global population structure	Skin biopsies	1	WGS	Genetic homogenisation at lower latitudes and greater differentiation at high latitudes

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
<i>Phocoena phocoena</i>	Fontaine et al. 2007 (BMC Biol)	Black Sea + Iberia (+European/Nordic waters)	Genetic structure + seascape genetics	Skin / muscle / other samples (standings / bycatch)	752 (78 Black Sea; 30 Iberia; 642 European/Nordic waters)	10 microsatellites	Three major genetic groups with Black Sea as a genetically well distinct and homogenous group. Seascape feature impact individual dispersal, with Isolation by distance, but not in the Black Sea
	Fontaine et al. 2012 (PNAS)	Black Sea (BS) + Marmara Sea (MS) + Northern Aegean Sea (AS)	Genetic structure + demographic/phylogeographic history	Skin / muscle / other samples (standings / bycatch)	89 (11AS, 3MS, 75BS)	10 microsatellite markers + mtDNA control region	Genetic homogeneity between BS, MS, and AS; Founding effect ~7kyr ago when BS reopened onto the Mediterranean Sea; Genetic signal of population decline by 90%, consistent with estimates of cetacean hunting in until the 1980's.
	Fontaine et al. 2014 (Mol Ecol)	Iberia + NW Africa + Black Sea	Phylogeographic history, and ecotype / sub-species isolation	Muscle / bones / teeth / skin	78 Black Sea + 31 Iberia + 15 NW Africa +	10 microsatellite markers + ¼ mitogenome	Identification of three genetically well distinct and equally divergent groups corresponding to the subspecies ( <i>P. p. relicta</i> , <i>P. p. phocoena</i> ) and a new lineage unnamed subspecies possibly <i>P. p. meridionalis</i> in Iberia and NW Africa. Divergence during the LGM related to paleo-mediterranean populations of harbour porpoises



SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO. **	MARKER	KEY FINDINGS
	Ben Chehida et al. 2020 (Heredity)	Black Sea (BS)+ Azov Sea (AzS) + Marmara Sea (MS) + Aegean Sea (AeS)	Genetic structure related to morphological heterogeneity?	Skin / muscle from standings and bycatch porpoises	144 (11 AeS, 3 MS, 87 BS, 32 AzS)	10 microsatellite markers + ¼ mitogenome	No genetic structure detected, not even considering the documented morphological heterogeneity between BS and AzS. Modelling shows that analyses had adequate power. Modelling shows that substructure may still be possible, assuming a lag between demography and genetics, or if the phenotypic differences are driven by natural selection involving non-neutral genetic markers not sampled in the study.
<i>Physeter macrocephalus</i>	Drouot et al. 2004	Eastern North Atlantic and Mediterranean Sea (Tyrrhenian Sea, Ionian Sea, North western Basin, Balearic Sea)	Assess genetic differentiation between North Atlantic and Mediterranean	Sloughed skin for Med, tissue from strandings for North Atlantic	13 (Med)	mtDNA control region (200 bp), 3 microsatellite loci	Different mitochondrial haplotype frequency between MED and North Atlantic
	Engelhaupt et al. 2009	Gulf of Mexico, North Atlantic, North Sea, and Mediterranean Sea	Test the hypothesis that coastal basins represent isolated gene pools of matrifocal social units	Biopsies and sloughed skin	44 (Med)	mtDNA control region (399 bp), 16 microsatellite loci	No mt diversity in MED; significant differentiation between MED and other regions for both mt and usat markers

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO. **	MARKER	KEY FINDINGS
	Alexander et al. 2016	Worldwide; Pacific, Indian Ocean, Atlantic, Mediterranean	Test whether pop expansion explains low mtDNA diversity; influence of geographic regions vs social groups on genetic structure	Mix; used Med samples from Engelhaupt et al 2009	40 (Med)	mtDNA control region (394 bp), 13 microsatellite loci	Low mtDNA diversity likely result of recent population expansion; differentiation among social groups, and among geographic regions in some oceans
	Morin et al. 2018	Worldwide; Pacific, Indian Ocean, Atlantic, Mediterranean	Understand which mechanisms (demography/selection) contribute to low mtDNA diversity	Live biopsy and stranded animals	4 (North western Med)	Mitogenomes	Ocean-specific mitogenome haplotypes; 2 haplotypes in Med; population expansion and ocean-basin divergence since the last interglacial period
	Violi et al. (under review)	Mediterranean Sea (east + west) and eastern North Atlantic	Study population structure, demography, gene flow, kinship within the Mediterranean	Stranded and free-ranging	116 (34 from east; 82 from west Med)	5000-10,000 SNP loci (RADseq)	Recent founding of Med population, around last glacial maximum; differentiation between east and west Med populations
<i>Stenella coeruleoalba</i>	Bourret et al. 2007	central and western Mediterranean Sea and North Atlantic Ocean North Pacific (as outgroup)	Genetic differentiation and levels of genetic diversity among striped dolphins	Muscle, skin and blubber, liver, or kidney from stranded organisms	78 (Med)	5 microsatellite loci	Mediterranean population showed the lowest allelic richness and expected heterozygosity. Higher nuclear genetic diversity within the Atlantic than within the Mediterranean

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Gaspari et al. 2007	Mediterranean Sea (west+est) and eastern North Atlantic	Social kin associations and genetic structuring of striped dolphin populations	Free-ranging and stranded animals (skin, liver, muscle, and heart)	149 (Med)	8 microsatellite polymorphic loci	<ul style="list-style-type: none"> <li>- Differentiation between Med. and North Atlantic</li> <li>- Differences over small geographical scales within the Med and among the Ligurian Sea between inshore and offshore.</li> <li>- The kin structure (Ligurian pop.) greater association among female than among male kin</li> </ul>
	Gkafas et al. 2017	North-east Atlantic Ocean and Mediterranean Sea	Population genetic structure to identify the causes of genetic divergence, the effect of past climate change on demography and population connectivity	Stranded and by-catch Skin and muscle tissue	140	20 microsatellite loci	Directional gene flow from north-east to south and west in the North Atlantic, and from west to east in the Mediterranean. Division between the North Atlantic and Med Sea populations during the middle Pleistocene, and within the Med. between the east and west basins towards the end of the Pleistocene
	Gaspari et al. 2019	Mediterranean Sea	Spatio-temporal patterns of genetic diversity in the Mediterranean	Stranded and free-ranging	368	mtDNA Control Region (919bp) and 15 microsatellites	Weak geographical differentiation in the Med (recent expansion) Cyclical fluctuations in genetic composition, which correspond with recurrent morbillivirus epizootics.
	Cicarese et al. 2019	Gulf of Taranto (Ionian Sea)	Local differentiation of a subpopulation	Skin swabbing	25	mtDNA cytochrome b (421 bp)	High nucleotide diversity and heterogeneity in the Gulf of Taranto samples 2 separate lineage in the Med, one specific to the Gulf of Taranto

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
<i>Steno bredanensis</i>	Kerem et al. 2016	NE Atlantic Ocean (Canary Islands) + E Mediterranean (Israel)	Determine genetic population structure and origin of the Mediterranean population	Skin tissue from strandings	3 (+6 Canary Islands)	mtDNA control region (450bp)	Strong evidence for an Atlantic origin of the Med population. Med population basal within the Atlantic cluster. 9 samples, 9 haplotypes
	Albertson et al. 2022	Global	Describe worldwide phylogeography	Skin tissue and teeth, from strandings, bycatch and biopsy samples	3 (same as Kerem et al. 2016) + 333 globally	mtDNA control region (n = 360), mitogenomes (n = 19), and six nuclear introns (n = 35)	Mediterranean samples clearly clustered with Atlantic sequences
	Kommenou et al. 2022 (ECS)	Aegean Sea, Greece	Case study on live stranded individual	Skin(?)	1	mtDNA sequences from D-loop region and Cox1 gene	Close proximity to Atlantic haplotypes
<i>Tursiops truncatus</i>	Natoli et al. 2004	NE Atlantic, Mediterranean and others	Fine scale population structure of North Atlantic	Skin tissue from strandings, bycatch and biopsy samples	27 NE Atlantic 45 Mediterranean	mtDNA control region (297bp); 9 microsatellites	Significant population differentiation suggesting restricted gene flow for both males and females. Differentiation between coastal and pelagic pop.
	Natoli et al. 2005	NE Atlantic, Mediterranean and Black Sea	Large and fine scale population structure and gene flow	Skin tissues from stranding, biopsy and scrub samples	35 Extension area; 42 W Med; 32 E Med; 16 Black Sea	mtDNA control region (630bp); 9 msat	Clear pop structure over the geographical range. Strong differentiation between Med and Black Sea.

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Fernandez et al 2011	Iberian Peninsula	Fine scale population structure	Skin tissues from stranding and bycatch samples	60 Spain (Galicia; basque country and Canary Islands) 31 PT (Portugal mainland, Sado and Azores)	mtDNA control region (549bp); 10 msat	Fine scale pop. differentiation between the resident pop. (South Galicia and Sado) and the other regions.
	Moura et al. 2013	Worldwide; Mediterranean; Black SEa	Population differentiation and phylogenetic analysis	Skin tissue from standings, bycatch and biopsy samples	8 NEA 10 MED 10 Black Sea	75 mtDNA complete genome	Coastal pop are diff. from pelagic pop in NEA. Radiation in pelagic environments was recent, and was likely followed by a return to coastal habitat.
	Louis et al. 2014a	NE Atlantic and Mediterranean	Population structure	Skin tissue from standings, and biopsy samples	405 samples (~52 from Med; samples from Galicia, Gibraltar/Cadiz)	mtDNA control region (682bp); 25 msat	Clear pop structure between coastal and pelagic populations; fine scale pop structure within these groups. Differentiation between Atlantic and Mediterranean pop
	Louis et al 2014b	NE Atlantic and Mediterranean	Population structure; ecotype differentiation, demographic history	Skin tissue from standings, and biopsy samples	405 samples (~52 from Med; samples from Galicia, Gibraltar/Cadiz)	mtDNA control region (682bp); 25 msat	coastal pop. were likely founded by the Atlantic pelagic population after the LGM. Pelagic dolphins from Atlantic and Med. Sea likely diverged during a period of high productivity in the Med.Sea.

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Gaspari et al. 2015	Mediterranean	Genetic differentiation and dispersal	Skin tissues from strandings, and biopsy samples	89 samples (63 Adriatic sea, 6 Ionian; 6 Aegean sea; 14 Tyrrhenian sea)	mtDNA complete control region (920bp); 12 msat	Genetic diff. among all the pops. Fine-scale pop structure within the Adriatic. High gene flow from N Adriatic to adjacent waters
	Gaspari et al. 2015	Mediterranean	Population structure phylogeography in Mediterranean	Skin tissues from strandings, and biopsy samples	194 samples (87 Adriatic sea; 10 Aegean; 16 Tyrrhenian; 14 Ionian; 68 Levantine basin)	mtDNA complete control region (920bp); 12 msat	Genetic diff between pelagic and coastal populations. Fine scale pop division within the Adriatic and the Levantine Seas.
	Gonzalvo et al. 2016	Mediterranean (Gulf of Ambracia)	Genetic differentiation of bottlenose dolphins in the Gulf of Ambracia	Skin tissues were obtained with "skin swabbing" method; biopsies,	19 Gulf of Ambracia	mtDNA control region (442bp)	Unique haplotypes and lower genetic diversity for pop. of Gulf of Ambracia. Pop differentiation between this pop and the others.
	Brotons et al. 2019	Mediterranean (Balearic Islands)	Population structure around the islands	Skin tissues from strandings, and biopsy samples	50 samples (26 Gimnèsies; 22 Pitiuses; 9 Comunitat Valenciana)	mtDNA control region ( 660bp) 11 msat	Genetic differentiation between balearic islands and coastal region

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Nykanen et al 2019	NEA Atlantic	Fine scale population structure and connectivity	Skin tissues from strandings, and biopsy samples	33 Gibraltar/Cadiz 33 N Spain (Galiza)	14 msat	Fine scale pop structure for coastal populations, low levels connectivity between these populations.
	Moura et al. 2020	Worldwide, including Mediterranean and Black Sea	Phylogenetic relationship of the Genus Tursiops	Skin tissue from strandings, bycatch and biopsy samples	8 NEA 10 MED 10 Black Sea	RAD seq data (26720 SNPs)	Monophyly for the genus Tursiops; extensive gene flow between european coastal and pelagic ecotypes. Diff. between Atlantic pelagic and Mediterranean+Black Sea but with some gene flow between them.
<i>Ziphius cavirostris</i>	Carroll et al. 2016	Ligurian Sea (1), Canary Islands (2), Scotland (1)	To assess the utility of ddRAD sequencing in identifying specific SNPs for ecological and evolutionary studies	Skin biopsy	04	ddRAD markers	10000 loci would be sufficient to detect population structure. However additional analyses are needed
	Dalebout et al. 2005	Mediterranean: Greece + Croatia (+North Atlantic, South hemisphere, North Pacific)	phylogeography	Stranding tissues	12: Greece + 02 Croatia	mtDNA control region (300bp)	Strong phylogeographic structure among ocean basins with Mediterranean population being isolated (two private haplotypes T3 & T4). Ziphius in the Mediterranean to be considered a separate evolutionarily significant unit (ESU)
	Tonay et al. 2019	Aegean Sea, Eastern Med.	genetic population structure	tissue from stranding	5	mtDNA-CR- 444 bp  cytochrome b 424 bp	Control region haplotypes were identical to two previously identified ones from the Ionian (Greece) and Adriatic (Croatia) Seas.

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Onoufriou et al. 2022	Mediterranean (eastern basin + Ligurian Sea) Global Oceans	Phylogeography and genomic population structure	Skin from stranded and biopsied individuals	33 (19 W + 14 E) for SNPs 3 for mtDNA	30479 SNPs Full mitogenome (15219 bp) mtDNA control region (860bp)	Strong phylogeographic structure among ocean basins. The Mediterranean populations diverged 0.5 mya from the Atlantic Ocean. WMed and EMed to be considered a separate evolutionarily significant unit (ESU)
<i>Delphinus delphis ponticus</i>	Biard et al. 2017	Black Sea	performance of three biomolecular methods for species identification in a mixed assemblage of 800 to 1600 years old odontocete bone samples	excavated zooarchaeological material	10	CytB 43 bp; full mitogenome (72-100% coverage)	- First mitogenome data obtained  - The combination of ZooMS, mtDNA and shotgun sequencing provides a powerful tool for species D in aDNA/eDNA studies
	Rosel et al. 1994	Black Sea	genetic population structure	tissue from stranding	4	mtDNA-CR 404bp, cytochrome b 360bp	genetic differentiation between BS, California and Pacific pop.
	Natoli et al. 2008	Black Sea	genetic population structure	tissue from stranding	5	mtDNA-CR, 428bp  9 microsatellite loci	Suggest isolation from the Med. pop.



SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Tonay et al. 2020	Black Sea, TSS, Aegean Sea	genetic population structure	tissue from stranding	17+19*+1	mtDNA-CR- 428 bp	<ul style="list-style-type: none"> <li>- Differentiation was observed between the Atlantic Ocean, and the Med. Sea, TSS and the Black Sea but not between Med. and the Black Seas.</li> <li>- Multidirectional colonisation events of the Med. Sea from the eastern Atlantic Ocean.</li> </ul>
<i>Tursiops truncatus ponticus</i>	Biard et al. 2017	Black Sea	performance of three biomolecular methods for species identification in a mixed assemblage of 800 to 1600 years old odontocete bone samples	excavated zooarchaeological material	11	CytB 43 bp; full mitogenome (72-100% coverage)	<ul style="list-style-type: none"> <li>- ancient haplotypes are present in modern population</li> <li>- The combination of ZooMS, mtDNA and shotgun sequencing provides a powerful tool for species ID in aDNA/eDNA studies</li> </ul>
	Moura et al. 2013	Black Sea, Mediterranean Sea	genetic population structure	tissue from stranding, biopsy, scrub sampling	10+10 (the same as Natoli et al. 2005)	full mitogenome (16386 bp)	Separation between Eastern Mediterranean and Black Sea was visible in two independent lineages, both splitted from the Mediterranean clades ca. 10 kyr ago

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Natoli et al. 2005	Black Sea, Mediterranean Sea	genetic population structure, sex segregation	tissue from stranding, biopsy, scrub sampling	16+74	mtDNA-CR-630 bp,  9 microsatellite loci	- Two isolated lineages in the Black Sea showing the founder effect  - directional effect for gene flow, suggesting the emigration of females
	Tonay et al. 2018	Black Sea, TSS, Aegean Sea, Mediterranean Sea	genetic population structure	tissue from stranding	31+31*+7+5	mtDNA	BS bottlenose dolphin population differentiation from the adjacent populations and low levels of genetic diversity indicates a conservation concern
	Viaud-Martinez et al. 2008	Black Sea, Mediterranean Sea	genetic population structure	tissue from stranding, biopsy, scrub sampling	43+31 (partly the same as Natoli et al. 2005)	mtDNA-CR-442 bp	Low genetic diversity in the Black Sea coupled with significant differentiation and some shared haplotypes
<i>Phocoena phocoena relicta</i>	Ben Chehida et al. 2020	Black Sea, Azov Sea	genetic population structure	tissue from stranding	55	mtDNA-CR–  3904 bp  10 microsatellite loci	the genetic homogeneity in the Black Sea porpoises at the mtDNA and microsatellites, despite morphological heterogeneity

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Biard et al. 2017	Black Sea	performance of three biomolecular methods for species identification in a mixed assemblage of 800 to 1600 years old odontocete bone samples	excavated zooarchaeological material	10	CytB 43 bp; full mitogenome (72-100% coverage)	<p>- ancient haplotypes are present in modern population</p> <p>- The combination of ZooMS, mtDNA and shotgun sequencing provides a powerful tool for species ID in aDNA/eDNA studies</p>
	Fontaine et al. 2007	Black Sea, Marmara Sea	genetic population structure	tissue from stranding and bycaught	75+3*	10 microsatellite loci	strong barriers to gene flow were detected in the south-eastern part of the range.
	Fontaine et al. 2010	Black Sea, Marmara Sea	genetic population structure	tissue from stranding and bycaught	75+3* (same with Fontaine et al. 2007)	10 microsatellite loci	Black Sea and north Atlantic harbour porpoises have diverged within the last 7000 years ago.
	Fontaine et al. 2012	Black Sea, Marmara Sea, Aegean Sea	genetic population structure	tissue from stranding and bycaught	75+3*+11 (same with Fontaine et al. 2007, 2010 except Aegean Sea)	mtDNA-CR-705 bp, 10 microsatellite loci	a strong population reduction (~90%) that occurred within the past 5 decades, due to massive killing and bycatch.

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Fontaine et al. 2014	Black Sea, Marmara Sea	genetic population structure	tissue from stranding and bycaught	75+3* (same with Fontaine et al. 2007, 2010)	mtDNA-CR- 5085 bp, 10 microsatellite loci	The divergence between the western and eastern populations in the Mediterranean Sea likely occurred during the postglacial period, around ca. 14 kyr BP.
	Lah et al. 2016	Black Sea	genetic population structure	tissue from stranding and bycaught	4	ddRAD - 2872 (SNPs), 13 microsatellite loci, mtDNA-CR- 414 bp	BS porpoises are most clearly separated based on nuclear as well as private and divergent mitochondrial markers.
	Llavona et al. 2014	Black Sea	genetic population structure	tissue from stranding and bycaught	16	10 microsatellite loci	Aegean, Marmara and Black Seas appear to form a distinct group
	Rosel et al. 1995	Black Sea	genetic population structure	tissue from stranding and bycaught	9	mtDNA-CR- 394 bp	No shared haplotypes were found among the three ocean basins, and the estimated sequence divergence among them was high.
	Rosel et al. 2003	Aegean Sea	genetic population structure	tissue from stranding	2	mtDNA-CR 344bp	movement of porpoises out of the Black Sea and into the Aegean Sea.
	Tonay et al. 2012	Black Sea, Marmara Sea, Aegean Sea	genetic population structure	tissue from stranding and bycaught	1+1*+1	mtDNA-CR 364 bp	possibility of isolated group in TSS

SPECIES	REFERENCE	REGION	OBJECTIVE	SAMPLE TYPE	SAMPLE NO.**	MARKER	KEY FINDINGS
	Tonay et al. 2017	Black Sea, TSS, Aegean Sea	genetic population structure	tissue from stranding and bycaught	58+11*+1	mtDNA-CR-358 bp	BS harbour porpoises dispersed into the Aegean through the TSS..possibility of isolated group in TSS
	Uzun et al. 2017	Black Sea, TSS, Aegean	genetic population structure	tissue from stranding and bycaught	57+15*+2	mtDNA-CR 364bp	possibility of isolated group in TSS
	Uzun et al. 2018	Black Sea, TSS, Aegean	genetic population structure	tissue from stranding and bycaught	37+17*+1	ddRAD-4924 (SNPs)	Highest genetic diversity in the Western Black Sea and TSS, possibility of isolated group in TSS.
	Viaud-Martinez et al. 2007	Black Sea, Marmara Sea, Aegean Sea, Gibraltar area	evaluate the degree of morphological and genetic differentiation	tissue from stranding and bycaught	95+3*+4+4	mtDNA-CR 364bp	genetically differentiated and recognized as the subspecies <i>P.p.relicta</i>

\*Turkish Straits System (Marmara Sea, Istanbul and Çanakkale Straits) is not in ACCOBAMS Area. \*\*Sample no. Number of samples included from ACCOBAMS area

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## Appendix 2 Suitable genetics labs in the ACCOBAMS area [October 2022]

*Note: this non-exhaustive list was based on the information received from ACCOBAMS NFPs and workshop participants until October 2022 (other laboratories might be suitable in each Party)*

Party	Laboratory Facilities	Contact
Croatia	Department of Biology, Faculty of Science, University of Zagreb, Zagreb	Ana Galov: <a href="mailto:ana.galov@biol.pmf.hr">ana.galov@biol.pmf.hr</a>
Egypt	Zoology Department, Faculty of Science, Al-Azhar University, Nasr City, Cairo	<a href="mailto:hamdy.ali.hamdy@gmail.com">hamdy.ali.hamdy@gmail.com</a>
France	Laboratoire de recherche: CRIOBE, UAR3278 - CRIOBE - CNRS - EPHE - UPVD, Perpignan	Serge Planes: <a href="mailto:planes@univ-perp.fr">planes@univ-perp.fr</a>
	Laboratoire de recherche: MIVEGEC UMR IRD224 - CNRS5290 - University of Montpellier, Montpellier	Michael Fontaine: <a href="mailto:michael.fontaine@cnrs.fr">michael.fontaine@cnrs.fr</a>
Italy	University of Siena, Departments of Department of Environmental, Earth and Physical Sciences, Siena	Maria Cristina Fossi: <a href="mailto:fossi@unisi.it">fossi@unisi.it</a> , Cristina Panti: <a href="mailto:panti4@unisi.it">panti4@unisi.it</a>
Portugal	Instituto Gulbenkian de Ciência (IGC), Population and Conservation Genetics group, Oeiras	Lounes Chikhi: <a href="mailto:chikhi@igc.gulbenkian.pt">chikhi@igc.gulbenkian.pt</a> , Inês Carvalho: <a href="mailto:carvalho.inesc@gmail.com">carvalho.inesc@gmail.com</a>
	Centro de Estudos do Ambiente e do Mar (CESAM), Campus Universitário de Santiago, Aveiro	<a href="mailto:geral@ua.pt">geral@ua.pt</a>
	Interdisciplinary Centre of Marine and Environmental Research (CIIMAR), Porto University, Matosinhos	Filipe Castro: <a href="mailto:filipe.castro@ciimar.up.pt">filipe.castro@ciimar.up.pt</a>
Slovenia	Morigenos – Slovenian Marine Mammal Society, Piran	Tilen Genov: <a href="mailto:tilen.genov@gmail.com">tilen.genov@gmail.com</a> , <a href="http://morigenos.org">morigenos@morigenos.org</a>
Spain	Central Service for Experimental Research, University of Valencia, Valencia	Amparo Martinez: <a href="mailto:amparo.martinez@uv.es">amparo.martinez@uv.es</a>
	Marine Zoology Unit, Cavanilles Institute of Biodiversity and Evolutionary Biology, University of Valencia, Valencia	Juan Antonio Raga: <a href="mailto:toni.raga@uv.es">toni.raga@uv.es</a>
	Department of Functional Biology, University of Oviedo, Oviedo	Álvaro Jesús Obaya González: <a href="mailto:dpto.biofun@uniovi.es">dpto.biofun@uniovi.es</a>
	[COMMERCIAL COMPANY] All Genetics & Biology SL, A Coruña	<a href="mailto:info@allgenetics.eu">info@allgenetics.eu</a>
	[COMMERCIAL COMPANY] Macrogen SPAIN, Madrid	<a href="mailto:info-spain@macrogen.com">info-spain@macrogen.com</a>
Tunisia	Le Laboratoire de Biodiversité Marine, Institut National des Sciences et Technologies de la Mer (INSTM), centre de Monastir, Monastir	Olfa Chaieb: <a href="mailto:offachaieb@yahoo.fr">offachaieb@yahoo.fr</a>

Party	Laboratory Facilities	Contact
Türkiye	Istanbul University Faculty of Aquatic Sciences, İstanbul	Arda M. Tonay: <a href="mailto:atonay@istanbul.edu.tr">atonay@istanbul.edu.tr</a>
	Zonguldak Bulent Ecevit University, Faculty of Science and Arts, Department of Biology	Mustafa Sözen: <a href="mailto:spalaxtr@hotmail.com">spalaxtr@hotmail.com</a> ; <a href="mailto:mustafasozen@beun.edu.tr">mustafasozen@beun.edu.tr</a>
Ukraine	Schmalhausen Institute of Zoology, National Academy of Sciences of Ukraine, Kyiv	Pavel Gol'din: <a href="mailto:pavelgoldin412@gmail.com">pavelgoldin412@gmail.com</a>
	Mechnikov Odesa National University, Odesa	Sabina Chebotar: <a href="mailto:kafgen@onu.edu.ua">kafgen@onu.edu.ua</a>
	Karazin Kharkiv National University, Kharkiv	Oleksandr Zinenko: <a href="mailto:oleksandrzinenko@gmail.com">oleksandrzinenko@gmail.com</a>



## Appendix 3 Example laboratory protocols for DNA extraction from tissue

### A) The Ammonium acetate precipitation method

- 1) Place a small piece of tissue sample (exact amount varies by tissue type and target DNA amount) in a 1.5 ml flip-top tube; make sure the sample is at the bottom of the tube, centrifuge if needed
- 2) Add 125 µl DigSol buffer and Proteinase K mix to the sample (the mix should have a ratio of 250 µl Digsol buffer and 10 µl Proteinase K (10 mg/ml)); close lid and centrifuge briefly
- 3) Place in an oven at 56°C for digestion *e.g.* overnight
- 4) Once digested, briefly centrifuge and add 300 µl 4M ammonium acetate to each sample for precipitation of proteins
- 5) Place sample tubes/plates on a shaker or vortex over a period of at least 15 minutes at room temp. to precipitate the proteins
- 6) Label new tubes used for transfer in the following steps
- 7) Centrifuge samples for 10 minutes at 15,000 rpm
- 8) Aspirate supernatant (clear liquid containing the DNA) into clean labelled 1.5 ml flip-top tubes (discard the precipitated protein stuff which usually pellets on the bottom although could be floating on the top)
- 9) Add 1 ml 100% ethanol
- 10) Close lids and invert tubes gently several times (20x) to precipitate DNA
- 11) Centrifuge for 10 minutes at 15,000 rpm
- 12) Pour off ethanol taking care not to lose DNA pellet
- 13) Add 500 µl 70% ethanol and invert several times to rinse pellet
- 14) If the pellet dislodges from the bottom of the tube centrifuge for 5 minutes at 15,000 rpm
- 15) Pour off ethanol and stand tubes upside-down on clean tissue (approx. 30-60 minutes)
- 16) Once fully dry add approx. 100 µl T10 E0.1 (the amount added is dependent on the size of the pellet)
- 17) Flick sample to dislodge pellet
- 18) Place tubes in waterbath or oven for 30 minutes (50°C) to dissolve pellet (flicking/vortexing every 10 mins)
- 19) Store at -20°C degrees (long term) or 4°C degrees (short term)

### Preparation of Solutions

**1M Tris-base** (mol. wt. 121.1 g) pH 8.0

For 200 ml:

- Dissolve 24.22 g in distilled water by stirring
- pH should be about 8.0
- Autoclave to sterilise

**0.5M EDTA** (mol. wt. 372.2 g) pH 8.0

For 200 ml:

- Dissolve 37.2 g in distilled water by stirring
- Will need to pH solution with NaOH whilst it is dissolving (in order for all EDTA to solubilise)

**20% SDS**

For 100ml:

- Add 20 g SDS (use autoclaved water as end solution cannot be autoclaved)
- Use a fume hood and wear a mask when weighing this powder

**Digsol** (Digestion Solution) pH 8.0 (Bill Amos and Josephine Pemberton)

Recipe	Stock	For 1000ml	For 200ml
20 mM EDTA	EDTA (0.5 M, pH 8.0)	40 ml	8 ml
120 mM NaCl	NaCl	6.85 g	1.37 g
50 mM Tris	Tris (1 M, pH 8.0)	50 ml	10 ml
Distilled water		810 ml	172 ml

- Warm all constituents until dissolved
- Autoclave to sterilise
- Add SDS

SDS (20%)		50ml	10ml
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- pH with HCl if necessary

**4M Ammonium Acetate** pH 7.5

For 100 ml:

- Dissolve 30.83 g Ammonium acetate in distilled water
- Autoclave to sterilise
- If necessary pH with Glacial acetic acid

NB: Ammonium acetate is hydrophilic and therefore most of the stock chemical is very wet, however this does not seem to affect the extraction process.

**T10 E0.1** (Low EDTA T.E. Buffer) pH 7.5-8.0

For resuspending DNA which will be used in PCR

Recipe for 400 ml

10 mM Tris → 4 ml of 1 M Tris (pH 8.5)

0.1 mM EDTA → 80 µl of 0.5 M EDTA (pH 8.5)

- pH if necessary
- Autoclave to sterilise

10 mg/ml Proteinase K

- In 1 ml aliquots in -20°C freezer

## B) The Phenol/Chloroform method

[copied from <https://www.thermofisher.com/>]

### Materials required

- Glycogen (20 µg/µL)
- 7.5 M NH<sub>4</sub>OAc (ammonium acetate)
- Ice bucket
- Phenol:chloroform:isoamyl alcohol (25:24:1)
- 100% ethanol
- Dry ice or a -80°C freezer
- 70% ethanol

### Protocol - Phenol | Chloroform extraction

- 1) Add one volume of phenol:chloroform:isoamyl alcohol (25:24:1) to your sample, and vortex or shake by hand thoroughly for approximately 20 seconds
- 2) Centrifuge at room temperature for 5 minutes at 16,000 × g. Carefully remove the upper aqueous phase, and transfer the layer to a fresh tube. Be sure not to carry over any phenol during pipetting

Proceed to "Ethanol precipitation", below.

### Protocol - Ethanol precipitation

Reagent	Volume
Glycogen (20 µg/µL)	1 µL
7.5 M NH <sub>4</sub> OAc	0.5 × volume of sample
100% ethanol	2.5 × (volume of sample + NH <sub>4</sub> OAc)

- 3) Add the reagents to the aqueous phase, in the listed order in the above table
- 4) Place the tube at -20°C overnight to precipitate the DNA from the sample. Note: If you wish to continue with the protocol, place the tube in dry ice or at -80°C for at least 1 hour.
- 5) Centrifuge the sample at 4°C for 30 minutes at 16,000 × g to pellet the cDNA.
- 6) Carefully remove the supernatant without disturbing the cDNA pellet.

- 7) Add 150  $\mu$ L of 70% ethanol. Centrifuge the sample at 4°C for 2 minutes at 16,000  $\times$  g. Carefully remove the supernatant.
- 8) Repeat Step 3 once. Remove as much of the remaining ethanol as possible.
- 9) Dry the cDNA pellet in a Thermo Scientific™ SpeedVac™ concentrator for 2 minutes or at room temperature for 5–10 minutes.
- 10) Resuspend the cDNA pellet in 300  $\mu$ L of TEN buffer by pipetting up and down 30–40 times.
- 11) Centrifuge briefly to collect the sample, and place the tube on ice.

# Appendix 4 Example of Biological Material Transfer Agreement

Subject:

The purpose of this agreement is to set out the rules by which cetacean samples are exchanged for non-commercial research between the following two institutions.

The parties to this agreement are:

The **lending institution**, also referred to as the '**Provider**':

Represented by:

and the **receiving institution**, also referred to as the '**Recipient**':

Represented by:

A) The **Provider** agrees to lend to the **Recipient** the Biological Material (hereafter referred to as the '**Material**') described below, to be used for the purpose described in (B and C):

- Number of samples and species
- Type of sample (skin, muscle, etc.)
- Preservation buffer/method
- Identification codes and sample information
- Methods for sample collection (including national sampling permits)

B) The **Recipient** agrees to perform the analysis described below for the purpose described in (C) and to ensure the safe custody of the **Material** until their full consumption or safe return to the **lending institution**.

- Type of analysis (ex: stable isotopes, genetics, contaminants, cause of death, disease etc.)

C) Purpose of the Biological Material Transfer:

1/ A concise description of the research project is provided below.

- Project name
- Project Reference and Funding Agency (when appropriate)
- Short summary

2/ A summary of the scientific methodology applied on samples is provided below. *[It should stipulate if the samples will be partially or completely consumed, or if the samples will be modified or transformed (for example, DNA or RNA extraction) or if a product will be derived (eg, cell culture)].*

D) **Both parties** agree to the following conditions:

3/ If particular protocols are needed before providing the **Material** *[briefly describe]*, preparation cost will be supported by \_\_\_\_\_ *[the **Provider** and/or the **Recipient**].*

4/ The **Material** remains a property of the **Provider**/is donated to the **Recipient** *[choose the appropriate option]*.

5/ The **Material** may only be used for the non-commercial research purpose described in (B) and (C). If the agreed purpose was to change after signing this Agreement, the **Recipient** would consult with the **lending institution** and seek its written approval, that will be annexed to this Agreement.

6/ If the **Material** has to be shipped, shipping fees will be supported by \_\_\_\_\_ *[the **Provider** and/or the **Recipient**].*

7/ **Both parties** agree to provide all relevant documentation for the legal exchange of biological samples for non-commercial research purposes, including but not limited to relevant CITES permits, and Nagoya protocol procedures.

9/ Any portion of the **Material** that was not used for the purpose specified in (B) and (C) must be returned to the **Provider**/will remain in storage at the **receiving institution** *[choose the appropriate option]*.

When appropriate, the **Material** must be adequately packed and shipped to insure their safe return by registered or insured mail. The **lending institution** must be contacted before shipping. Shipping fees are chargeable to the **Recipient**. If no parts of the **Material** remain after the investigation, the **lending institution** must be notified accordingly.

10/ The **Recipient** agrees to ensure that Code labels should always be associated with the respective **Material** and not get lost.

11/ The **Recipient** is an "end-user" meaning that no part of the the **Material**, product of the the **Material** and data related to the the **Material** (species, origin, age, sex, lesions, ...) may be forwarded to a Third party, except after consultation and written approval of the **Provider**. This transfer might require specific authorizations.

12/ The **Recipient** is responsible for the safekeeping of the **Material** described in (A). The loss or damage of the **Material** must be immediately reported to the **Provider**.

13/ Co-authorship is the most correct way of acknowledging other people's contribution. The **Recipient** formally agrees to which researcher(s)/staff member(s) from the **lending institution** must be considered as co-author(s) in all reports, presentations and papers. All publications and reports should stipulate that the **Material** was provided by the **lending institution** including the projects/Funding Agencies to be acknowledged.

- Expected outcomes *[including BSc/MSc/PhD dissertations, scientific publications, conference proceedings, reports to National or International authorities, etc.]*

- Co-authors from the **lending institution** to be included in all publications, presentations and reports resulting from this project: Dr./Mrs./Mr.
- Projects/Funding Agencies to be acknowledged
- Other people to be acknowledged by name

14/ Published results should be communicated to the **lending institution**; a digital copy of all papers should be sent to the **lending institution**.

15/ In order to avoid duplication of future work, all raw data should be sent to the **lending institution** (e.g. – results of pollutant analysis, results of isotopic signatures, genetic sequences, etc). The **Recipient** will retain co-authorship of these data. Any institutional use of these data (e.g. National or International reports requested by State Authorities) will be preceded by an authorization request made by the **lending institution** to the **Recipient** that was responsible for the data production.

16/ The **Recipient** will provide training to the **Provider** on [subject].

This agreement is effective on the date of \_\_\_\_\_ and will terminate on (1) completion of the research project, (2) on return of the samples to the **lending institution**, (3) upon any breach of the terms of this agreement by the **Recipient**, or (4) upon any request by the **lending institution** for the return of the samples [choose appropriate options].

Date:

From the **lending institution**:

Person:

Affiliation:

Phone:

Email:

Legally Represented by:

Affiliation:

Mail:

Signature of the legal represent of the Institution:

From the **receiving institution**:

Person:

Affiliation:

eMail:

Phone:

Legally Represented by:

Affiliation:

Mail:

Signature of the legal represent of the Institution: